Research Cell, KMC, Manipal



KASTURBA MEDICAL COLLEGE

(A constituent unit of MAHE, Manipal)

Research Bulletin v1.0

by

Research Cell Kasturba Medical College, Manipal

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About this Document:

This document with the inputs from respective is prepared the departments/institutions/offices of MAHE to help the faculty and researchers of Kasturba Medical College, Manipal. They may find it useful while searching for relevant support for their research related activities. The information may subject to change and the document will further be updated in due course. It is advisable to call the contact person in the respective office/institution/department beforehand to confirm if there is any recent change in the procedures or instructions. You may mail your feedback to Research Cell, Kasturba Medical College, Manipal (research.kmc@manipal.edu). We are happy if you find this document helpful.

1. Office of International Affairs

1.1 Vision and Mission

Vision

Comprehensive and unified framework for seamless international operations to collaborate with global community providing excellence in medical education, promoting transdisciplinary and translational research and faculty development for better patient care.

Mission

Student Motility Center is committed to synchronize and provide directions for international operations across all constituent departments of KMC, Manipal while catering to international visiting students, promoting faculty mobility and fostering global academic as well as research collaborations.

1.2 How to set up an International Collaboration

Student Mobility Center requests OIAC for a letter of introduction to the global health administration of the partner university.

After introduction, the SMC writes to the contact person at the partner university introducing KMC, Manipal, describing core academic and research areas in which collaboration is sought. Once the partner university responds, common areas for partnerships are explored through email, online meetings and in- person meetings. A general agreement is drafted with help of international agreements section of OIAC and MAHE legal cell.

Officers for execution of the agreement are identified in both the institution. Once the draft is approved by the agreements and legal team on both sides, the authorized signatories sign the document. The Vice Chancellor, Director of international affairs and Dean of KMC, Manipal are the authorized signatories. The actual signatory depends on the signing authority at the partner university. A fully executed agreement is archived at OIAC with copies to the registrar's office, Dean's office and SMC. The Dean's office updates the same on the website.

Specific agreements are drafted as and when required and duly signed and executed as mentioned above. Specific academician or researcher (principal investigator) associated with the agreement acts as witness in the agreement. The witness (PI) is expected to provide periodic progress reports on the project regarding its outcome.

The SMC coordinates visits from international delegates with the help of OIAC. After an initial meeting with the administrative representatives from partner/future partner

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universities, core areas of mutual interest are identified in research, teaching and patient care. A team of leads are identified on both ends and further dialog is promoted. The initial meetings are generally face to face while the collaborators are encouraged to improve rapport and brainstorm through online meetings and emails. Joint research proposals and publications are encouraged. SMC assists in drafting the bilateral agreements, legal and financial approvals and final execution of memoranda of understanding between the institutions.

1.3 How to plan student exchange and PhD program in collaboration with any foreign university

The international medical students from different parts of the world (the Netherlands, Australia, USA, UK, Nigeria, Congo, South Africa, Srilanka, Malaysia and France) have visited KMC, Manipal in the last academic year.

The international students approach different clinical sponsors for electives depending on their area of interest. However, they are routed through the office of international affairs and collaborations. They are required to apply through OIAC which is then forwarded to the SMC. This process is elaborated in the link provided. https://manipal.edu/kmc-manipal/programs/program-list/medical-ug- electives.html

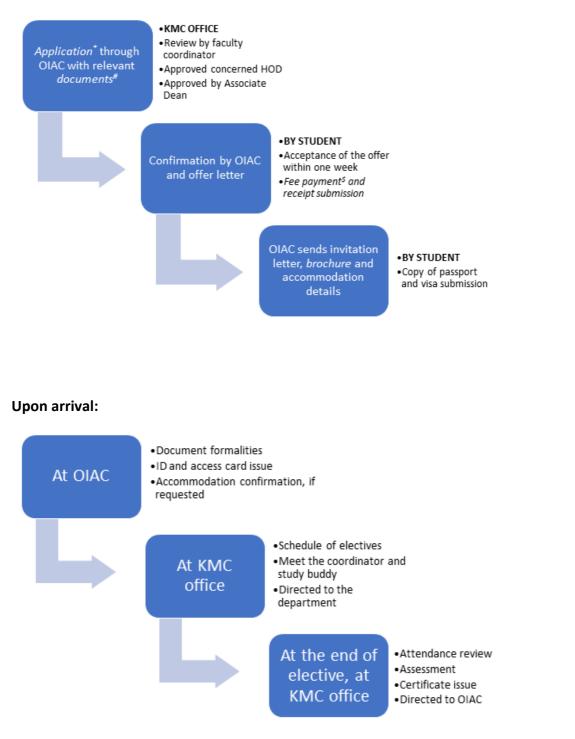
Once the student arrives at KMC, Manipal and reports at Dean's office, the student receives a bonafide certificate and a schedule of elective rotation as per the requirement. The details of faculty co-ordinators of SMC and OIAC, department co-ordinators for the elective and directions to the place where they begin elective are explained to them. The coordinators interact with inbound students providing guidance regarding their application process, accommodation, tuition fee, postings schedule, stay and safety while working on campus.

The student must follow the rules as described in the link given above. An end posting assessment is conducted by the concerned faculty at the clinics and an assessment report is sent to the dean's office along with attendance. This is compiled and a final assessment report is given to the visiting student at the end of elective posting.

Inbound: Please refer to the visiting student application package (attached) for more details. Please note that the applications are now approved by the Dean.



Pre-acceptance:



1.4 How to invite and utilize the expertise of a foreign adjunct faculty

A foreign adjunct faculty may be invited for lectures, workshops and conferences as resource person. The head of the department or coordinator of special centers may send an official invitation through Dean, KMC, Manipal. The invitation must describe the purpose of the visit, date, time, venue, and expected outcome.

Once the invite is accepted, an event id must be created by the HOD or faculty assigned by the HOD. The event must be publicized among faculty and students of MAHE through exchange viewer. The same may be posted on official handle of social media (contact: Dr Ganesh Mohan; ganesh.mohan@manipal.edu for Twitter) and college website (contact: Dr G. Shiva Prakash; shiva.g@manipal.edu for website update). A record of such visit must be maintained at the department office. If the adjunct faculty is an alum of the institution, the same must be given due credit and alumni coordinators and SMC coordinators must be informed. A brief report of the event with photographs must be submitted to SMC at the end of the event, no later than 1 week after the completion of the event.

An effort must be made to identify areas for further collaborations in research and education. If multi-institutional collaboration is being explored, OIAC must be informed to streamline the visit.

1.5 Any regulations for International collaborations and data exchange

International research proposals must obtain ethical approval from both the institutions. Any exchange of data, biological material and reagents/equipment must be pre-approved by the Ministry of Health and Family Welfare. Such stipulations must be elaborated in the specific agreements. The PI must enter the details of the project in Research Management Portal, inform the Research Cell and SMC before the commencement of the project. The PI is expected to provide periodic progress reports on the project regarding its outcome.

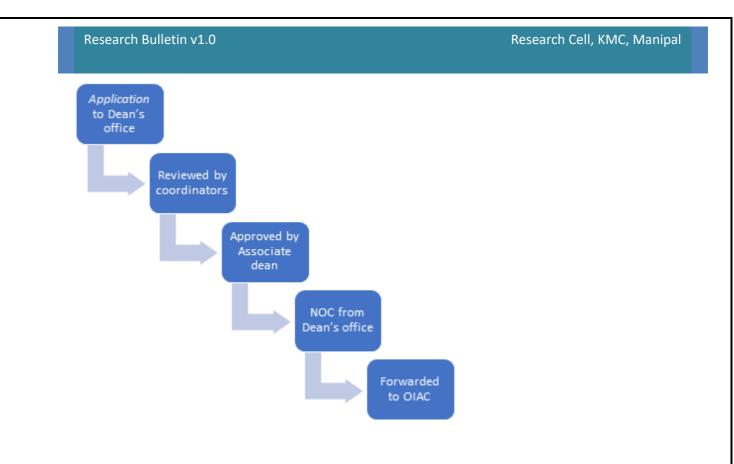
1.6 How to plan student's electives abroad

The outbound students have 4 options of applying for international electives

1. Apply through OIAC to partner universities

- a) Apply through visiting student application service- an initiative by Association of American Medical Colleges
- b) Apply through OIAC to non-partner universities
- c) Apply privately without the institutional reference

The students are supported by providing administrative assistance and by granting leaves. The aspiring outbound students are counseled regarding best possible elective options in their field of interest. They are also informed about funding opportunities, attendance claims and possible research areas. They are assisted with administrative process and their applications are routed through the institute and the international office.



Kasturba Medical College, Manipal, is the only medical college in the country to be recognized by the American New York State education department (NYSED) which gives unrestricted allowance on the duration of clerkship/elective experience for KMC students seeking long-term clinical clerkship placements in the state of New York.

Partner medical school for visiting students' application services (VSAS) is an initiative of Association of American Medical Colleges (AAMC) KMC, Manipal is part of the Visiting Student Application Services, an initiative of AAMC.

Students are requested to follow the below mentioned steps to register to VSAS:

Please write an application (email) addressed to the Dean requesting invite for registration VSAS and send it to undergraduate section (sis.kmc@manipal.edu) with a copy to Student Mobility Center (smc.kmcmanipal@manipal.edu).

The following details are required for VSAS registration:

First	name:
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Middle name:

Last name:

Email address to which the invite has to be sent:

Expected date of completion of internship:

Contact number:

The request will be processed by home institution administrators after approval from the Dean. The invitation link is valid for 1 month once the student receives it.

Global Education in Medicine Exchange (GEMx) is an ECFMG initiative. It is a global partnership for exchange in Medical education that connects medical schools and students around the world. Please contact the SMC coordinators for registration.

1.7 Any regulations for foreign faculty/guests to visit campus as a speaker/collaborator

A valid visa is required for a foreign faculty visit, for which letter of invite may be issued by OIAC. A request from HOD/coordinator of centers, for letter of invites to be addressed to Dean and mailed to SMC, which will then be forwarded to OIAC. **If any live procedure demonstration or hands on workshop involving patients is planned, prior permission from MCI must be obtained.** This procedure to obtain MCI permission takes a minimum of 120 days. Hence, the organizers of such events are requested to contact SMC atleast 5 months before the event.

1.8 How to arrange the stay and travel for a foreign faculty (not adjunct)

If the foreign faculty is visiting only KMC, Manipal, a request for local travel (airport pickup and drop off, please mention the travel itinerary) and accommodation (please specify if FIVV/NIH accommodation) must be made to the Dean. This request is then forwarded to the registrar. Upon the registrar's approval, MAHE transport will arrange for airport pickup and drop off. Dean's secretary will make arrangements for accommodation. If the foreign faculty is part of a multi-institutional delegation or visiting multiple institutions of MAHE, the OIAC must be informed well in advance (as early as the visit is planned and air tickets are booked by the guest) so that travel and accommodation arrangements may be made by OIAC. Please note that the faculty must be visiting multiple institutions for OIAC to make these arrangements and the office has to be informed early.

1.9 How to arrange the stay and travel for a foreign student, joining temporarily as a researcher

A foreign student on a temporary research visit will be issued a letter of offer by OIAC (once his application is reviewed and approved by the PI). After the student accepts the offer, he/she will be sent a letter of invite (for visa) and offered airport pickup and drop off as well as accommodation at NIH at the rates defined by OIAC. If the student agrees for this, then further arrangements are made by OIAC.

1.10 Workflow over the year (Meeting/Seminars/Workshops/Contact program/Training etc)

SMC meets every quarter with all its departmental coordinators. A quarterly report of all its activities is sent to OIAC in the prescribed format (MICRO). International Students Day is celebrated 2nd Saturday of August every year.

1.11 Office address and Contact person with phone number and email (may be multiple for different affairs)

a) Student Mobility Center (SMC) address:

Student Mobility Center Room number 3, Ground floor, KMC faculty rooms, Administrative block, Kasturba Medical College, Manipal, Udupi, Karnataka - 576104

SMC coordinators:

Name	Email id	Contact no
Dr. Sindhura Lakshmi K.L,	sindhura.lakshmi@manipal.edu	8095136096
Associate Professor,		
Dept. of Pathology, KMC		
Dr. Freston Marc Sirur,	freston.sirur@manipal.edu	9604200840
Assistant Professor,		
Dept. of Emergency		
Medicine, KMC		
Mrs. Nandini Prashanth	nandini.bhat@manipal.edu	9449079477
Bhat,		
Tutor, Dept. of Anatomy,		
КМС		

b) Dean office:

Name	Email id	Contact no
Dr. Sharath Kumar Rao K,	sk.rao@manipal.edu	0820 2922367
Dean, KMC	dean.kmc@manipal.edu	

c) Office of International Affairs, 3rdfloor, Edu building, MAHE, Manipal

Name	Email id
Dr. Raghu Radhakrishnan	raghu.ar@manipal.edu; <u>director.intl@manipal.edu</u>
Mrs. ShantalaPai	shantala.pai@manipal.edu
Ms. Joyce	intl.incoming@manipal.edu
Ms. Riya	intl.office@manipal.edu
Ms. Preeti	intl.collaborations@learnermanipal.onmicrosoft.com

2. Directorate of Research, MAHE

2.1 Vision and Mission (In alignment with university)

Vision

• Global leadership in human development, excellence in education and healthcare.

Mission

- Be the most preferred choice of students, faculty and industry
- Be in the top 10 in every discipline of education, health sciences, engineering and management.
 - **2.2** Documents available for applying any research grant Repository List.xlsx (*Please refer Appendix 15.1*)

2.3 Facilities available in DoR for faculty/Researchers

All Database management systems such as, Scopus, Scival, Web Of Science, PURE etc.,

2.4 Information available in DoR for faculty/Researchers

All publication related Data

2.5 How DoR can help in research trouble shooting

They can help in coordination if any or answering the queries from case to case basis

2.6 Prizes and awards for the faculty publications

Incentive Policy Attached (Please refer Appendix 15.2)

2.7 Prizes and awards for the student publications

Same as above (Please refer Appendix 15.2)

2.8 When and How to enter into RMS portal and get UTN number

To be applied while applying for grant/Publication. RMS Handbook attached (*Please refer Appendix 15.3*)

2.9 Workflow over the year (Meeting/Seminars/Workshops/Contact program/Training etc)

Institutional Research Activity Group meetings are conducted every quarter; seminars and workshops are conducted for various publications. Same is informed through mail as and when planned to all institutions.

2.10 Office address and Contact person

Directorate of Research, Ground Floor, Advance Research Centre, Manipal, Karnataka – 576104

Contact Number- 0820 29 23439, office.dor@manipal.edu

Contact person

Name	Email id
Dr. Bharti	bharti.magazine@manipal.edu
Deputy Director Research Health	
Science	
Dr. Santosh KV	santhosh.kv@manipal.edu
Deputy Director Research Technical	

3. Center for Doctoral Studies, DoR, MAHE

3.1 Vision and mission

Vision

The Centre for Doctoral studies aims to drive a doctoral program which is outstanding and capable of producing scholars who will foster and sustain research culture to match global standards.

Mission

Offer a robust doctoral program by selecting, enrolling, supporting and retaining academically distinguished students; with a focus on strengthening the doctoral program both in terms of quality and numbers

3.1 Rules and regulations for PhD program in MAHE

https://manipal.edu/mu/academics/phd/handbook-and-downloads.html

MAHE PhD Guidelines (Please refer appendix 15.4)

3.2 Types of grants/funding offered for PhD program

Nil

3.3 Essential information and links

www.manipal.edu/phd

https://manipal.edu/mu/academics/phd/handbook-and-downloads.html

3.4 Rules for full-time PhD program

Page 2 of guidelines (Please refer appendix 15.4)

3.5 Rules for part-time PhD program

Page 3 of guidelines (Please refer appendix 15.4)

3.6 Rules for foreign faculty as co-guide

Page 5 of guidelines, but can only be a co-supervisor (Please refer appendix 15.4)

3.7 Rules for application as PhD guide

Page 05 of guidelines (Please refer appendix 15.4)

3.8 Workflow over the year (Meeting/Seminars/Workshops/Contact program/Training etc)

https://manipal.edu/mu/academics/phd/handbook-and-downloads.html

3.9 Office address

The Director Centre for Doctoral Studies Ground Floor, Advanced Research Centre Manipal Academy of Higher Education Manipal - 576104 Tel: +918202922017 4. Intellectual property rights (IPR), DoR, MAHE

4.1 Vision and Mission

Vision

• To improve potential for commercializing research carried out within the Manipal Academy of Higher Education system.

Mission

• To support commercialization of research generated after assessing market potential, including potential for securing various forms of Intellectual Property, within Manipal Academy of Higher Education by coordinating with researchers from Health Sciences, Technology, Management and other research disciplines.

4.2 Rules and regulations for IPR/Copyright in MAHE

Standard Operating Procedure for filing patent applications from Manipal Academy of Higher Education

Stage 1

• Inventor submits an abstract of invention to Technology Transfer Office in Invention Disclosure Form

Stage 2

- Technology Transfer Office Carries out a Prior Art Search
- Prior Art Search Report is sent to the Investigator

Stage 3

• Based on the Prior Art Search report, Inventor(s) decided whether to proceed with filing patent application or need to make changes

Stage 4

- Decision to file a patent application is communicated to the Technology Transfer Office Stage 5
- Technology Transfer Office identifies a suitable partner among the selected partners for filing patent application
- The decision to file a patent application is communicated to finance/legal department and office of Directorate of Research. The finance department may make appropriate provision to pay the professional fees to the partner in consultation with the inventor. Technology Transfer Office shall be kept informed by Finance department of actions taken.

Stage 6

• The inventor provides required data to Technology Transfer Office/Partner Stage 7

- Technology Transfer Office/Inventors/Partners shall coordinate for further processing of applications
- Technology Transfer Office monitors the process of patent application process till its outcome

• An inventor is the one who conceives the invention and co-inventors should have contributed to the conception of invention, wherein the inventor and co-inventors have contributed at least to one claim of the invention. As a practices, it is not necessary to include name as inventor of those individuals who have merely performed tasks as instructed unless there is an intellectual contribution; or as a matter or power or position someone cannot claim inventorship unless they contribute intellectually as described above.

• Prior Art search is a technique to understand prior published literature globally, which includes but not limited to, patent applications, granted patents, published articles, conference presentations, news items, information shared through online mode, information passed on to oral transmission or any other such publicly available literature to ensure novelty of proposed patent application.

Prior art search can be carried out using free and paid databases. However, it requires careful use of keywords with their synonyms and skills to screen through several documents quickly.

4.3 Essential information and links

- <u>IDF Template</u> Invention Disclosure Form (Please refer appendix 15.5) https://manipal.edu/content/dam/manipal/mu/documents/DoR/TTO/IDF-Template%20-%20MAHE.docx
- <u>NDA Template</u> Name, Designation and Affiliation (Please refer appendix 15.6) <u>https://manipal.edu/content/dam/manipal/mu/documents/DoR/TTO/NDA%20Template%</u> 20-%20MAHE.pdf
- <u>List of copyright applications filed registered</u> (Please refer appendix 15.7)
- <u>List of Patents filed by MAHE (Please refer appendix 15.8)</u>
- <u>Intellectual Property Policy</u> (Please refer appendix 15.9)
 - 4.4 Who can approach

Anyone in role of MAHE.

4.5 Any supporting agencies (contact address and links) – NIL

4.6 Workflow over the year (Meeting/Seminars/Workshops/Contact program/Training etc) - Meetings and Seminars are arranged on invitation from different institutions

4.7 Office address

Address

Directorate of Research Ground Floor Advanced Research Center MAHE, Manipal – 576104 Phone Number - 0820 29 23439

Contact person:

Dr. Manthan Janodia <u>Coordinator</u>, Technology Transfer Office Email - manthan.j@manipal.edu

Office of the Directorate of Research

Email - research.hlthsci@manipal.edu Email - research.tech@manipal.edu Email – office.dor@manipal.edu

Finance Department, MAHE

5.1 Documents available for application for any grants/funding Repository List.xlsx (Please refer Appendix 15.1)

5.2 Help available for application for any grants/funding (budgeting/financial correspondence/Utilization certificate/audit of the project etc.)

- Quick Identification of funding agencies through the GO portal
- Improved quality and time spent by the PI on applications with services such as budgeting, providing the required supporting documents, and other services relevant to the project submission as the case may be
- Coordinated institutional approvals and signatures wherever applicable
- Research management post research grant awarded to the applicant
- Review of research grant proposals, which did not qualify for funding a suggesting improvement in the proposal or alternative-funding agency for re-submission. The committee appointed by the Vice Chancellor/Registrar, MAHE shall review and provide their comment to the application for re-submission

5.3 Rules for exporting and importing items (related to customs)

Under the purview of Purchase department, MAHE

5.4 Office address and Contact person

Name	Email id	Contact no
Ms. Nirupa J Srinivas AGM - Finance	nirupa.srinivas@manipal.edu	0820-29-22767
Student Finance, 1 st Floor, Manipal Edu Building, Manipal, Karnataka - 576104		
Ms. Pooja Shanbhag Coordinator- Grants Office, Grants Office, Directorate of Research, Ground Floor Advance research Center, Manipal, Karnataka - 576104	pooja.shanbhag@manipal.edu	0820-29-37733

6. <u>Central Library, MAHE</u>

6.1 Objectives

The objectives of the Health Sciences Library are:

- To acquire, manage, provide and disseminate health sciences information to students, faculty and research scholars of the constituent Health Sciences Institutions of MAHE at Manipal. Currently these include Medical, Dental, Pharmacy, Nursing, Allied Health Sciences and Life Science institutions.
- To provide timely, accurate and current information and materials to users for education, teaching and research.

• To provide information services and access to bibliographic and full text digital and printed resources to support the scholarly and informational needs of Health Sciences institutions of MAHE, Manipal.

• To support and facilitate the research, learning, teaching and administrative activities of the University by organizing, maintaining and providing access to appropriate literature and information resources in such a way as to provide optimum benefit for library users.

• To provide an appropriate and comfortable environment and facilities for the use of library services and for individual and group study.

6.2 Kinds of services provided

SEARCH - The Health Sciences Library provides the following value added services to its users in addition to all routine type of services.

A. Reference Service:

- Reference service is a personal aid provided to the user by the library staff in interpreting library collection for study and research.
- The library staff assists the user to locate needed information from the available information resources. On the basis of collection, library provides reference service to its users.
- B. Current Awareness Service:

Currently the library offers the following current awareness services to the users.

- Display of new additions in the library

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- Publishing of 'new acquisition' in library micro site

C. Circulation:

- The books are issued to Interns, Postgraduate Students, Research Scholars and Faculty Members.
- Bound/unbound journals, audio-visual materials and CD-ROMs are for in-house use only.

D. Photocopying:

Through this service, users can get photocopies of articles from journals and books as and when required.

E. Fax:

Users can avail this facility for sending and receiving fax in the library.

F. Inter - Library Loan/Document Delivery:

- Library helps to obtain photocopies of the references from DELNET (Developing Library Network), New Delhi, and from other libraries.
- Further, the library augments its collection by acquiring reading materials not available for the use of its Faculty Members and Research Scholars and Postgraduate Students from several out-side sources on an inter-library loan basis.

G. Computer Services:

The computer lab of the library offers computer services to users such as word processing, laser printing, color laser printing, dot matrix printing and document scanning.

H. CD-ROM Access:

The CD-ROM collection of the library contains the multi media CDs, DVDs and full text databases include back volumes of medical journal articles, review articles, textbooks, etc.

I. Internet Access/Wi-Fi:

- The Library has a separate Internet Browsing section.
- Twenty nine [29] desktop computers are reserved for use of the students, six [6] for the faculty members and six [6] for the postgraduate students.
- Also twenty one [21] desktop computers are reserved for use of the students for accessing e-resources subscribed by the library in all the internet kiosks provided at Level I - IV reading halls.
- Further, Wi-Fi facility also available in all the reading halls.

J. EZProxy/Off Campus Remote Access:

EZProxy/ Off Campus Remote Access: Access to the e-resources subscribed by the Health Sciences Library, MAHE, Manipal is available to the faculty members, postgraduate students and research scholars of the Health Sciences Institutions of MAHE at the Manipal campus.

K. EPAC (Electronic Public Access Catalogue):

This is Public Access Catalogue of Health Sciences Library. Users can search all the print resources (books, journals, back volumes of journals, WHO publications, etc.) of the Health Sciences Library and other libraries of MAHE through Library's online catalog – the EPAC (Electronic Public Access Catalogue).

EPAC could be accessed through Library web page http://libportal.mahe.manipal.net/KMC/index.asp

or directly through - http://172.16.19.56/epac/epac_selorg.asp.

L. Training in Accessing e-Resources:

Library arranges for training sessions from time to time to the users in conducting effective literature search using e-resources.

M. Author Workshops:

Library organizes author workshops in collaboration with various publishers for supporting library users in their article publishing process.

For further information refer the Website: http://1.186.28.31/KMC/services.asp

6.3 Online software available

A. Turnitin

Library checks the originality of the documents on request using Turnitin anti plagiarism software.

- B. Mendeley (Free reference manager)
- C. Grammarly

Grammarly is the world's leading automated proofreader. It checks for more than 250 types of spelling, grammar, and punctuation errors, enhances vocabulary usage, and suggests citations.

Grammarly is an online grammar and spelling checker that improves communication by helping users find and correct writing mistakes.

D. Research To Publication

Research to Publication is an online educational tool to help the researchers to design, conduct and report on research that journal editors will want to publish. It includes narrative videos and learning exercises across many topics, including what editors look for, publication ethics and how to conduct a medical trial.

For further information refer the Website: http://1.186.28.31/KMC/index.asp

6.4 Research related workshops/programs-

A. Information Literacy Programs-

Conducted to raise awareness on the subscribed electronic resources among the library users and to empower them with literature search skills following Information Literacy Programs have been conducted in the library under research support services series.

http://1.186.28.31/KMC/annualreport.asp

B. Author workshop

7. Purchase Department, MAHE

The Purchase Department is responsible for procurement of materials in a timely and costeffective manner. It is the first constituent of Manipal Academy of Higher Education to receive ISO 9001:2000 certification. It is administered by the Director, Purchase and Deputy Director, Purchase. In support of the Manipal Academy of Higher Education group of institutions, purchase department is committed to provide timely and cost effective procurement & delivery services in respect of equipment, materials, items, documents and other service requests meeting customer requirements and continually improve our services to enhance customer satisfaction

Manipal Academy of Higher Education follows the centralized purchasing for all its major purchases especially capital equipment of high value and medium value.

The scope of the purchase department is:

1. Purchase of indigenous and imported medical and non-medical capital equipment

2. Procurement of medical and chemical consumables

3. Procurement of non-medical items including printing, stationery, engineering and maintenance requirements, IT Products, Kitchen items, housekeeping requirements, fixation of rates for food items.

7.1 Documents available for application for any grants/funding

The purchasing information regarding the items is as obtained as a mail from the indents/requests from the indenting departments/Institutions and scrutinized by respective Group-in-Charge and approved by Director-Purchase before sending the inquiries.

The indent for procurement of items must be sent through the proper channel* either *mail* or written letter to <u>purchase.mahe@manipal.edu</u>.

The items required for the research must be specifically mentioned as in the grant. These items can be procured with the grant amount received.

(*Proper channel- User to HOD, HOD to HOI, HOI will consolidate the budget and checks for the need, compiles it and sends the copy to Purchase department)

7.2 Help available for application for any grants/funding (Procurement of the equipment/consumables/etc.)

A. User Department places indent for procurement of items (The purchasing information regarding the items is as obtained from the indents/requests from the indenting departments/ Institutions) required for the research, which contains required details for procurement. The items required for the research must be specifically mentioned as in the grant. These items can be procured with the grant amount received.

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* Due to urgency sometimes the items are procured by the Dept. and thereafter Indents are forwarded to the Purchase Dept. Under such circumstances the Dept. should endorse the remarks "Indents for regularization only" on their indents.

B. After receipt of indent, purchase department floats enquiry on likely /recommended external provider/ Manufacturer.

C. The quotations received from the external providers.

(The quotation contains following items

• Quotation Ref. and Date

• Item description, quantity, unit cost, taxes, freight, mode of transportation, packing and forwarding, terms of payment, discount, delivery period, warranty, installation, validity, date of expiry of products wherever it is applicable.)

D. Comparative Statement is prepared wherever necessary.

E. Comparative Statement with quotations/catalogues is forwarded to users.

NOTE: MAHE has established a process for evaluating the purchase equipment's for its lifetime performance, which includes but not limited to

- Average Energy Use / Day / Month / Year
- Average Fuel consumption / Day / Month / Year
- Efficiency of equipment's
- Annual Maintenance contract Comprehensive / Non comprehensive
- Warranty Cost
- Resale value
- Guarantee norms
- Buyback Policy
- Replacement Policy
- Eco-friendly packaging
- Adherence to compliance obligations
- Disposal at end of life cycle of product (Less hazardous)
- F. After approval, in case of consumables price will be negotiated if required.

G. For Capital Items – User dept. selects the items on technical & commercial basis & gets Head of Institution's approval and forwards it to Purchase Dept. After the approval of users, the documents will be forwarded to concerned technical departments if required for technical evaluation. On receipt of approval, put up to Director Purchase for price negotiations with the external provider/local agency wherever necessary. Approval of Institutional Purchase Committee / post facto approval is obtained.

H. Documents are then forwarded to Finance Dept. for Sanction from the grant amount received. In case of indents received through online the finance sanction is obtained after preparing purchase order but before releasing the Purchase order

- I. After giving sanction Purchase Requisition is returned to Purchase Dept.
- J. After the receipt of Capital Sanction Purchase Order is prepared.
- K. Amendments to supply orders

L. Delivery of goods.

7.3 Purchase procedures for Imported items

- A. Receipt of Purchase Requisition from user dept. (for capital items, accessories & spare parts).
- B. Floating enquiry on recommended supplier / manufacturer for capital items minimum
 3 quotes are required for comparison. For spares & accessories, contacting the supplier who has supplied the main equipment for quotation.
- C. For capital items along with the quotation, we also ask them to fill the Vendor Evaluation form & Catalogue of the system quoted.
- D. Preparing the Comparative Statement and forwarding the same to the user dept. along with quotes for verification / selection.
- NOTE: MAHE has established a process for evaluating the purchase equipment's for its lifetime performance that includes but not limited to
- Average Energy Use / Day / Month / Year
- Average Fuel consumption / Day / Month / Year
- Efficiency of equipment's
- Annual Maintenance contract Comprehensive / Non comprehensive
- Warranty Cost
- Resale value
- Guarantee norms
- Buyback Policy
- Replacement Policy
- Eco-friendly packaging
- Adherence to compliance obligations
- Disposal at end of life cycle of product (Less hazardous)
- E. User dept. selects their requirement and forwards the paper back to Purchase Dept.
- F. Negotiating the cost.
- G. Finance Approval / Sanction No. to be obtained.
- H. Issue of Purchase Order on the recommended vendor. There are various types of Purchase orders. Usually PO will be issued on Ex-works; FOB (Free On Board), C&F (Cost & freight); CIF (Cost, Insurance & Freight). . In the quotations, the external provider mentions the different purchase order. The Purchase department compares the charges and decides on the type of purchase order.
- Under Ex-Works Order, we have to appoint freight agent to collect the parcel from the premises / factory of the supplier and transit insurance to be covered.

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- Under FOB the price covered by the supplier up to the Shipper's airport. We have to appoint our freight agent to collect the parcel from the shipper's airport up to Indian Airport. Transit Insurance in our cost.
- Under C&F Cost & Freight included & Shipper's responsibility up to Indian Port; Insurance on our part.
- Under CIF Cost, Insurance & Freight covered up to Indian Port by the shipper.
- I. Payment: Usually we follow 4 methods of payment; the external provider insists on the mode of payment depending on the value of the item.
- Advance payment through Wire Transfer After issue of Purchase Order, supplier forward the Order Confirmation / Proforma Invoice with their bank details to arrange the payment.
- Payment through irrevocable Letter of Credit.
- Payment against Cash Against Documents.
- Net 30 days. Payment through wire transfer on 30th day of Invoice.
- J. Transit insurance risk to be covered if required.
- K. Soon after getting the shipment documents (Air Way Bill, Invoice & Packing List), the same will be forwarded to our clearing agent to handle custom clearance.
- L. Duty Exemption Certificate: We have DSIR Certificate (purely for research items) & SEIS Certificate under which we are clearing the consignment duty free. (Given to customs, Users do not need the Certificate)
- M. Custom duty if any has to be paid during clearance.
- N. Soon after the custom clearance, e-waybill will be prepared to move the parcel from the port to final destination.
- O. Requesting the supplier to undertake the installation for capital items. Pre-installation requirements if any have to be fulfilled.
- P. Installation Report has to be signed by the user and also the bio-medical engineer.
- Q. Payment of custom clearance bill.
- R. 1Sending the Bill of Entry to the bank towards documentary evidence of import.
- S. Preparing the Statement of Expenditure on the backside of the Invoice and forwarding to the user dept. for certification.
- T. On receipt of Certified Invoice with Installation Report, the same has to be sent to the Finance Dept. for accounting.
- U. Complaints / Discrepancy if any has to be referred to the supplier.
- V. Incase repair has to be undertaken in the factory of the manufacturer, the item has to be exported back to the supplier through customs after getting the approval (GR Waiver) from the bank

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*The department will be in direct contact with the vendors for the further services. In case the supplier/provider does not fulfill the commitments for servicing, the user department must communicate with the supplier and sort out the issues.

7.4 Office address and Contact person with phone number and email (may be multiple for different affairs)

Office address:

Purchase department 3rd floor, Manipal Edu Building Manipal, Karnataka - 576104

Contact person-

Vittaldas Bhat G Deputy Director Purchase **Contact No.** - +91 820 2922446 **Email id**- <u>vittaldas.bhat@manipal.edu</u> <u>purchase.mahe@manipal.edu</u>

8. Grant Clinic, KMC

8.1 Vision and Mission

This committee's mission is to promote research, advice on how to continue research and provide guidance with research methodology/grant writing.

8.2 Formation

This is a committee that was created in February, 2020 as a part of Research Cell.

8.3 How to approach

- Your department office has the Grant Clinic Application Form. (PDF attachment)
- It is mandatory to fill it completely and send it to Research Cell.
- You can also send the full proposal that you have already written planning for a grant (if you have it) for better evaluation of your case.
- Grant consultants volunteer for the requested appointment based on their expertise and availability on the closest Mon/Fri/Sat between 2:30-5:00 p.m.
- Occasionally, an expert is invited for his/her advice if necessary. We highly discourage last minute applications, as they are under-evaluated due to lack of time and/or consultant unavailability.

8.4 Kinds of help provided

We can help you in choosing a suitable Grant to apply for if you are unsure, advice to improve your grant proposal writing and guide you to the right place(s) or person(s) where you can find relevant information.

8.5 Who can approach

Person writing the grant (Interested faculty/ Post doctorate/ Ph.D. student / PG student)

8.6 The follow-up process

You should attend the appointment along with the main PI. Attendance of CO-I is optional.

8.7 Workflow over the year (Meeting/Seminars/Workshops/Contact program/Training etc)

Meetings are held as required. Update on any form etc. is done routinely. Appointments are addressed at the earliest after the application is received.

8.8 The feedback

After completion of appointment the applicant fills a feedback form. This helps us to improve our future performances.

8.9 Office address and contact person

Research Cell, KMC, Manipal Email: <u>research.kmc@manipal.edu</u> Phone: 22540

For queries (via Research Cell only) - Dr. Ranita Ghosh Dastidar, Ph. No. 082029-22008;

Application has to send to research.kmc@manipal.edu and forwarded to grantclinic.kmc@manipal.edu to arrange for the appointment day, time and location.

9. Legal Department, MAHE

The Legal Department at Manipal Academy of Higher Education oversees the legal functioning of the departments, ensures statutory compliance, liaises with authorities and manages legal cases at various courts, forums, Adalats and tribunals.

9.1 Vision and Mission

The Personal and Legal Cell at Manipal Academy of Higher Education ensures that the University's mission, vision and values are upheld in everyday activities

9.2 Kinds of help provided for Research (MoU, Agreement etc.)

- We help in preparation of agreement/ MoU for the researchers.
- Verification of documents needed for grant amount release.
- If any resolution from the MAHE trust is required for processing the grant the legal cell provides it.
- In case of dispute or disparity between granter and grantee the legal department comes to help. Disputes resolutions are mentioned in the clause in the MoU.

9.3 Who can approach

Person who has applied for the grant (Interested faculty/ Post doctorate/ Ph.D. student / PG student)

9.4 How to approach

- The granting authority requests for a written document/MoU/ agreement from the institution.
- The PI has to send the application to the legal department through mail.
- The competent person in the legal cell will take up the application and it is given priority based on the time bound of the application
- This document/ MoU/ agreement will be then prepared and verified by legal cell and provided to the PI before the release of grant fund.
- Any disputes between the investigators must be resolved by themselves by mutual consent.

9.5 Workflow over the year (Meeting/Seminars/Workshops/Contact program/Training etc)

Meetings are held as required. Updates on any form etc. are done routinely.

9.6 Office address and Contact person

Office address-

Personal and Legal Cell, 3rd Floor, Manipal Edu Building

Contact person-

N Chandrashekar Prabhu Deputy Director – Legal Manipal Academy of Higher Education Contact No- 08202922434/ 22821 Email Id- legal.mahe@manipal.edu chandra.prabhu@manipal.edu

10. Human Resources Department (HRD), MAHE

10.1 Vision and mission

The Human Resources Department at Manipal Academy of Higher Education ensures that the University's mission, vision and values are upheld in everyday activities, like providing service to the University staff and employees.

It also manages organizational development, with the objective of developing and implementing progressive HR policies and procedures designed to foster excellence in a diverse work force.

10.2 Kinds of help provided for research (recruitment/replacement/renewal of Manpower for any project/Salary or Emoluments/ Advertisement etc.)

- We can help you in choosing a suitable candidate for your department by assisting in job posting, recruitment, screening, interview and placing the worker.
- We help to upload the job advertisement on career portal of Manipal.
- The received Resumes are sent to the PI or the department for short-listing the resumes.
- We also schedule the recruitment and form a committee to conduct the interview.

10.3 Who can approach

- Principal Investigator (Running the project and who have received the grant amount)
- Candidate (In case of salary delay)

10.4 How to approach

By email or written letter through a proper channel

- Request for candidate must be submitted by Principal Investigator to the Head of the Department.
- Later approved by the Head of the Institution (HOI)
- HOI sends it to the registrar for the further process.
- Advertisement for the vacancy will be posted on manipal.edu career site. IT department will take 1 day to post the advertisement.
- The advertisement is posted for 1 week on the career portal.
- After receiving the CV from the candidates it is further sent to the PI for shot listing. PI takes 2 days for screening the CV.
- Once the short listing is done the candidates are informed and recruitment is scheduled.
- Call letter is set to the selected candidate and is given 1 week for interview.
- A committee is formed to conduct the interview in scheduled date.

• After selection of the candidate offer letter is sent and 1-week time is given to join the institution.

• The candidate along with his appointment order has to report to the PI. The PI sends the candidate to the HR office of the concerned department. These documents are further sent to HRD and finance department.

• In case, PI wants any advertisement in national portal/newspaper

- The PI has to send a request letter to HRD department.
- HRD department connects with the advertisement agency and receives a code for advertising.
- The PI is responsible for the payment for the advertisement.

• In case, PI wants a very urgent selection and interview,

- Sometimes the funding agency/PI does not need advertisement. In that case the PI can send the CV of the person whom they have already selected to the HRD.
 - 10.5 Workflow over the year Meeting/Seminars/Workshops/Contact program/Training etc)

Meetings are held as required. Updates on any form etc. are done routinely.

10.6 Office address and Contact person with phone number and email (may be multiple for different affairs)

Deputy Director- HR Manipal Academy of Higher Education Manipal-576104 Contact No.- 0820-2922022 0820-2922525

Email idjobs@manipal.edu hrd@manipal.edu

11. Manipal - Government of Karnataka Bioincubator, MAHE

11.1 Vision and Mission

Vision

To transform innovative ideas or technology to an enterprise through strategic implementation along with adequate mentoring through eminent incubation service and affordable high-end incubation facilities.

Mission

To create an entrepreneurship ecosystem with professional services that aids the transformation of innovative ideas to scalable technology and knowledge-based enterprises.

11.2 Formation

Manipal - Government of Karnataka Bioincubator, under MUTBI Society, Manipal Academy of Higher Education (MAHE), has established Bioincubation space in 2018, with basic infrastructure of 10,000 sq. ft. area. ft. Thrust areas identified for Bioincubator are Biopharma, Biomedical Devices, Dental Innovation, Biotechnology, Disease Diagnosis and Medical Healthcare based on the readiness of multidiscipline entrepreneurial ecosystems of Manipal, with expertise in Health Sciences, Pharma, Medical, Dental, Engineering, Life Sciences, Management and Basic Science.

It aims to upscale innovations specializing in technology to propel innovative ideas towards product development and commercialization. Government of Karnataka extends its support to create central instrumentation facility for multidisciplinary technology platform in the thrust areas. Manipal - Government of Karnataka Bioincubator envisaged to expand multidisciplinary platforms for assistance services to early-stage researchers and innovators to convert their ideas to products and services, and guide them to the market there by conforming to economic development of our nation.

The Governing body

The Governing body is responsible for monitoring, implementation and execution of the proposals. The members of the governing body constitute of the head of the Host institution, mentors, entrepreneur's representatives of Government of Karnataka (KBITS/Dept of IT and BT), CEO, TBI member secretary etc.

The Project Management Committee (PMC)

The Project Management Committee (PMC) is responsible for selection of start-ups for the Bioincubator. The PMC constitute of the head of the host institution, representatives of KBITS/ Dpt. of IT and BT, senior faculties, technical experts, business experts and the CEO of the incubator.

Mentor Network

Incubator will facilitate liaison with mentors, professionals and experts from the focused domain and thrust areas along with technical, legal, financial, corporate and other relevant mentors to make available to the our Incubates. For mentor service please contact us with your topic of innovation.

PROGRAMS:

A. **Pre-incubation program (3-6 Months):** Pre-incubation programme is the first stage support from Bioincubator to the any individual who has a promising innovative idea with commercial potential and also who wants to be an entrepreneur. Through this program an idea or pre-proof of concept will be converted to its mature stage through rigorous mentoring, which not only reshaping of their innovation/business plan/ model but also to forecast the techno-commercial feasibility of product process or services. This program also gives an opportunity to innovator to do some preliminary test/validate their project idea with access to infrastructure equipped with basic instrumentation facilities, and guidance from mentors and technical experts of the relevant field. Thus Pre-incubation programme create a potential pipe line of entrepreneur with innovative ideas and workable business plan.

B. **Proof of concept, Prototype Development & Refinement & Validation / Trials** (18-24 Months): Incubation programme designated to generate enough validation data to foster entrepreneurs with a viable idea transforming to a viable prototype, product/ technology or service and ready to offer it to the market. To make a successful entrepreneur Bioincubator will support the incubatee with a set of specialised services including mentoring, technical supports, managements services, intellectual property managements, business development, networking activities, financial advisory services, legal, regulatory, compliances and other required services.

C. **General Programmes:** Bioincubator offers regular well formulated programs like IP Clinics, Entrepreneurship Awareness Camps (EAC) Ideation clinic, etc to create positive support ecosystems with programmed organizational development for sustainable business models.

Team

- 1. Dr. Manesh Thomas, Chief Executive
- 2. Mr. Vandith G, Operations Manager
- 3. Dr. Divya Padma, Technical Manager
- 4. Mr. Yashwith Shetty, Secretary

11.3 How to approach

A. For applying for incubation:

Step 1: Download the application and submit to the MGOKB office. Can download application here :

http://bioincubator.manipal.edu/InvitingApplications

Step 2: Share the filled application form with Operations Manager

Step 3: Fill in the Business Plan template shared by the Bioincubator

Step 4: Pitch your idea to Bioincubator Incubatee Selection Committee

Step 5: Once selected you would be incubated for incubation

B. For using the laboratory facility/instruments

Send a mail to Technical manager at tm.bioincubator@manipal.edu and enquire about the charges and availability.

11.4 Kinds of help provided

- A. Mentoring services
- B. Funding
- C. Grant Proposal writing
- D. Connect to IPR Services, Legal, Regulatory services
- E. Laboratory facilities
- F. Dedicated Incubation Laboratories
- G. Shared Laboratory Incubation spaces
- H. Tissue culture Laboratories
- I. Instrumentation facility

Currently available instruments for researches of KMC

1	Microwave oven
2	Vortex mixer
3	Hot plate stirrer
4	Refrigerator
5	pH meter
6	Low speed Centrifuge (non-refrigerated)
7	Freezer (-20 ⁰ C)
8	Ultrasonic Cleaner
9	Weighing balances
10	Water bath
11	Microfuge (non-refrigerated)
12	Hot air oven
13	Fume Hood
14	Laminar air flow Cabinet
15	Rotary shaker
16	Bacteriological incubator

Temperature controlled orbital shaker
PCR Thermocycler
Microfuge (Refrigerated)
Stability study chambers
CO2 Incubator
High speed homogenizer
Biosafety cabinet
Autoclave
Double Beam Spectro-photometer UV- Visible
Freezer (-80 ⁰ C)
Probe sonicator
Spray Dryer
Rotary flash evaporator
Liposome Extruder
Dissolution test apparatus (USP Apparatus 3)
Ultrapure Water Purification system
Lyophilizer
High speed centrifuge (refrigerated)

Upcoming Facility/Instruments

1	3D Bioprinter
2	Inverted Microscope with cameras
3	Thermo Gravimetric Analyzer
4	Upright Microscopes
5	Osmometer
6	Spin Coater
7	Plasma Cleaner
8	Shore Hardness Tester A
9	Laboratory Bioreactor
10	Gel Documentation system
11	Melt flow Index tester
12	Karl Fischer Apparatus
13	Electrophoresis Units (Horizontal and Vertical)

The benefits offered with membership

- 1) Certificate of membership with Manipal-GoK Bioincubator
- 2) Access to avail mentorship and advisory services
- 3) Monthly updates on technical and non-technical events to be conducted by the

Bioincubator

- 4) Discounts on all technical and non-technical events conducted by the Bioincubator.
- 5) Monthly updates on upcoming govt. grants. Networking meets

11.5 Essential information and links

Bioincubator Membership link: <u>https://tinyurl.com/sbuk957</u> Website: <u>www.manipal.bioincubator.edu</u> LinkedIn:<u>https://www.linkedin.com/in/manipal-gok-bioincubator-k-tech-innovation-hub-</u>b05470171/

11.6 Who can approach?

All students, faculty, innovators, researchers and start-ups.

11.7 Workflow over the year (Meeting/Seminars/Workshops/Contact program/Training etc)

- Insight on Entrepreneurship Series 1 5
- Technical Workshops
- Technical Talks
- Awareness Talks on Innovation, Entrepreneurship and Role of Incubators
- Grant Writing Clinic

11.8 Office address and Contact person

Address: Manipal Government of Karnataka Bioincubator 3rd Floor, Advanced Research Centre Near New International Hostel (NIH) MAHE, Manipal 576104

Contact Person:

Name	Email id	Contact no
Dr. Manesh Thomas, Chief Executive	bioincubator@manipal.edu	08202937726
Mr. Vandith G, Operations Manager	bioincubator@manipal.edu	08202937725

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Dr. Divya Padma, Technical Manager	bioincubator@manipal.edu	08202937723
Mr. Yashwith Shetty, Secretary	bioincubator@manipal.edu	08202937724

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12. Manipal Universal Technology Business Incubator (MUTBI)

12.1 Objective

MUTBI has the following objectives:

- Nurture entrepreneurship skills of students, faculty and people of the region
- Provide seed funding for feasible ideas/products/proposals
- Provide infrastructure resources to incubatee startups
- Facilitate market survey, product marketing, patenting, financial and legal services
- Arrange for funding from external agencies, angel investors and venture capitalists.

12.2 Formation

Looking at the entrepreneurial skills of students and faculty members, Technology Business Incubator (TBI) was established.

MUTBI is operational since March 2010, with support from National Science & Technology Entrepreneurship Development Board (NSTEDB), Department of Science & Technology (DST), Govt. of India.

12.3 How to approach.

Person who are interested in carrying out technology development which are intended for commercialization can approach directly at the office or mail to MUTBI and get appointment for discussion.

12.4 Kinds of help provided

The thrust areas of MUTBI are

- Information Technology
- Healthcare
- Medical devices
- Agriculture
- Renewable energy
- Energy conversion systems
- MEMS/Nanotechnology.
- If you have an intention to do a business we help you to build a team, look at the problem and work.

- Pre Incubation- Initial stage of product development and startup formation (3-6 months). Coworking space and mentoring would be provided. Based on the progress and need, funding will be provided.
- Incubation- Proposals from good team with appropriate prototype, innovation and traction would be considered for incubation. (18 months) Dedicated space (for office), mentoring and other support against rent and equity.
- > Helps in registering the startup and patent will be held up by the startups.
- Provides network support for startups.
- > Funding- seed money to Startups as loan or against equity upto Rs 25 lakh.
- NIDHI-EIR- (National Initiative for Developing and Harnessing Innovations- Entrepreneur In Residence program)

Government funded fellowship amount up to Rs 30,000 per month for one year for the graduates.

Eligibility- Minimum 4 years of formal full time UG / 3 years degree or diploma with 2 years full time work experience post degree. Basic degree should be in science and engineering

Facilities and Supports

MAHE facilities like Innovation Center, Central instrumentation and Technology Transfer Office are available in the ecosystem and MUTBI provides need based financial support.

a. Innovation Center: Innovation Center helps in creating the pipeline for entrepreneurship. The innovation center fosters creative thinking and innovation through open network of students, faculty members and community members.

Idea Café encourages interdisciplinary teams to meet, network and brainstorm complex problems. Regular weekly evening seminar series are arranged for innovators and entrepreneurs to gather and share their ideas and challenges.

- b. Central Instrumentation: Central facilities include Scanning Electron Microscope, X-ray Diffractometer, In Chromatography, Nuclear Magnetic Resonance, Atomic Force Microscope, Spectrophotometer, Micro gravure, RF Sputtering Unit, Nanofiber Electrospinning Unit, Spin Coater and Thermal Camera and Injection Moulding Machine. Apart from this, other instruments/equipment/machines available at various Institutes/Departments/Centers of MAHE are also available for working.
- c. Technology Transfer Office: Supports commercialization of project work/research generated after assessing market potential, including potential for securing various forms of Intellectual Property, within our ecosystem by coordinating with experts from Health Sciences, Technology, Management and other disciplines. Technology developed within and using MAHE facilities are governed by MAHE policy for faculty members and students.
- **d.** Financial support: MAHE supports innovations through small amount of funding during initial stage and preincubation as per policy. MUTBI financial support include
- Seed support as loan/against equity up to Rs. 25 lakh for product development / commercialization/scale up.

• Graduates who are aspiring to become entrepreneurs will be supported with fellowship amount of Rs 10,000 - Rs 30,000 per month under the NIDHI-EIR program of DST.

12.5 Essential information and links

Website- https://manipal.edu/mu/important-links/innovation-entrepreneruship/mutbi.html

12.6 Who can approach

Manipal Universal Technology Business Incubator (MUTBI) is an initiative of Manipal Academy of Higher Education (MAHE), Manipal, to provide services to students, faculty, alumni as well as people of the region to start their own Venture in line with the social objectives of Government of India.

12.7 Workflow over the year (Meeting/Seminars/Workshops/Contact program/Training etc)

In order to create constant awareness and also to keep the spirit of innovation and entrepreneurship, MAHE organizes various events/programs through Institutes/Innovation center/ MUTBI.

- a. **Theme based** (wearable device, dentistry, local needs) **hackathons** are regularly organized to find solutions to problems. Winning teams are provided with funding to develop prototype.
- b. **Entrepreneurship Awareness and Development programs** are organised for students and faculty members to keep them abreast of startup ecosystem.
- c. Innovation Festival and Entrepreneurship Summit: During this two day event, innovators, display their prototypes to students/faculty members/visitors. Also industry experts/entrepreneurs participate in panel discussion and interact with budding students who aspire to become entrepreneurs. Pitch sessions are also planned for students/faculty members to share their proposals in front of experts.

12.8 Office address and Contact person with phone number and email

Manipal Universal Technology Business Incubator (MUTBI) 4th Floor, Advanced Research Center Madhav Nagar, Manipal - 576 104 Ph: + 91 820 2925051

Research Cell, KMC, Manipal

E-mail: <u>mutbi.mit@manipal.edu</u> Web: <u>http://www.mutbimanipal.org</u>

Contact person-Dr. Shrihari Upadhyaya <u>ys.upadhyaya@manipal.edu</u>

13. Manipal Universal Press, MAHE

The publishing program at Manipal Universal Press (MUP) strives to strengthen and serve the academic community, contributing to MAHE's objective of excellence in global scholarship.

13.1 Who can approach

The academic Division of MUP includes publishing in disciplines ranging from Engineering, Medicine, Management, Education, Humanities, and Social Science to journals which incorporate exemplary research in Medicine and Health Sciences..

13.2 Kinds of help provided

Editorial services-

- Plagiarism Check
- Proofing (punctuations, numerals, symbols)
- Structuring (syntax, parts of speech, presentation, readability check and fine-tuning of language
- Formatting (character, line and paragraph spacing, and levels of classification etc.)
- Grammar and spell check (usage of abbreviations, foreign words and language uniformity)
- Avoiding redundancy, jargon and clumsy words, rephrasing complex sentences
- Reader friendliness and refinement
 - **Design and Production Service-**
- We offer end-to-end design and production services in
- Invitations
- Brochures
- Flyers
- Posters
- Banners
- Hoardings
- Conference Proceedings
- Magazines
- Newsletters
- Annual Reports
- Prospectus
- Planners (Calendars, Diaries etc)
- Directories
- e-Publishing

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These services are rendered by our skilled team of graphic designers utilizing the latest tools and machines as per the set industry norms.

13.3 Office address with phone number and email

Manipal Universal Press 5th Floor, Advanced Research Centre, Manipal Academy of Higher Education, Manipal, Karnataka, India 576 104

Email id- <u>mup@manipal.edu</u> <u>supportmup@manipal.edu</u>

Contact No.- (0820) 2922516 (0820) 2922954

14. Research Coordinators, Research Cell, KMC

Sl no.	Name	Email id	Contact number
1.	Innovation Cell / Hackathon		
	<u>Chief</u> - Dr. Zeeshan Hameed BM, Associate Professor Department of Urology	zeeshan.hameed@manipal.edu	9741970101
	Dr. Soniya Shenoy, Assistant Professor Department of Psychiatry	sonia.shenoy@manipal.edu	9844056794
	Dr. Sandesh U Assistant Professor Department of Anaesthesiology	sandesh.shet@manipal.edu	9845611959
	Dr. Mohan V Bhojaraj Assistant Professor Department of Nephrology	mohan.vb@manipal.edu	9902548725
	Dr. Nitin Gupta Assistant Professor Department of Infectious Diseases	nitin.gupta@manipal.edu	7838340627
	Dr. Sandeep Kumar B K Associate Professor Department of Paediatrics	kumar.sandeep@manipal.edu	8197120018
	Dr. Suyog Shetty Assistant Professor Department of Urology	suyog.shetty@manipal.edu	7561807182
	Dr. Shubhashree Uppangala Assistant Professor Department of Clinical Embryology	shubha.u@manipal.edu	9480009731
2.	UG Publication Division		
	<u>Chief-</u> Dr. Revathi P Shenoy Associate Professor Department of Biochemistry	revathi.shenoy@manipal.edu	9449367734

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	Dr. Eshwari K Assistant Professor Department of Community Medicine	eshwari.k@manipal.edu	9880460083
	Dr. Naveen Kumar A N Professor & Head Department of Surgical Oncology Dr. Sadhana Holla Assistant Professor	naveenkumar.an@manipal.edu	9969523579
	Department of Pharmacology Dr. Sivakumar G	sadhana.holla@manipal.edu	8746828049
	Assistant Professor Department of Physiology	sivakumar.g@manipal.edu	9844171014
3.	PG Publication Division		
	<u>Chief</u> -Dr. Muralidhar Kulkarni Associate Professor Department of Community Medicine	murali.kulkarni@manipal.edu	9844810917
	Dr. Mohan Babu Amberkar Associate Professor Department of Pharmacology	mb.amberkar@manipal.edu	9886369607
	Dr. Shalini Assistant Professor Department of Medical Genetics	nayak.shalini@manipal.edu	9964043502
	Dr. Devaraj K Assistant Professor Department of OTO Rhino Laryngology	devaraja.k@manipal.edu	9999662597
	Dr. Barnini Banerjee Associate Professor Department of Microbiology	barnini.banerjee@manipal.edu	9916618746
	Dr. Badareesh L Associate Professor Department of General Surgery	badareesh.l@manipal.edu	9844774035

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	Dr. Lakshmi Prasad Associate Professor Department of Neuro Surgery	lakshmi.prasad@manipal.edu	9632156732
4.	PhD Publication Division		
	<u>Chief</u> - Dr. Shashidhar V Associate Professor Department of Microbiology	shashidhar.v@manipal.edu	9886075904
	Dr. Anjali M Associate Professor Department of Obstetrics and Gynecology	anjali.suneel@manipal.edu	9686448802
	Dr. Vanishree Nayak Assistant Professor Department Of Anatomy	vanishri.nayak@manipal.edu	9243992950
	Dr. Amithash M P Assistant Professor Department of Pulmonary Medicine	amithash.mp@manipal.edu	9611445705
	Dr. Swati Sharma Associate Professor Department of Pathology	swati.sharma@manipal.edu	9964499424
	Dr. Anju Shukla, Professor Department of Medical Genetics	anju.shukla@manipal.edu	9044871055
5.	Faculty Publication Division		
	<u>Chief</u> -Dr. Dinesh Upadhya Associate Professor Department of Anatomy	dinesh.upadhya@manipal.edu	8747012060
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Research Cell, KMC, Manipal

15. Appendix

15.1 Repository list

Sr. No.	List of Documents	List of Dynamic Data (of last 3 to 5 years)	Policy
1	Form 12 A	BOM Members	Anti Bribery Policy
2	Gazzate	Trust Trustees	Anti Child Labour policy
3	Bank Details	Annual Reports	Financial Policy
4	Address Proof	Audited Financial Reports	Recruitment Policy
5	CA Certificate	HR Details	Purchase policy
6	FCRA Certificate	Budget	Redressal of Sexual Harrasment Policy
7	SIRO Recognition and Registration	IT Receipts	Academic Dishonesty & plagiarism Policy
8	GST Certificate	Sanction Letters/orders from the agencies	Research Incentive Policy
9	Millenium Alliance Brochure	List of Govt. Grants	Faculty Award Incentive Research Policy (FAIR - Revised)
10	DSIR Certificate	List of National Grants	MAHE Research Policy
11	NGO Darpan Registration Details	List of International Grants	Intellectual Propert Policy
12	Agency Profile	List of Erasmus CBHE Grants	Academic Harrasment policy
13	PAN	NAAC Self Study Report	
14	PFMS Registration	Quality Assurance Report	
15	SIRO Certificate		
16	Trust Deed		
17	Trust Registraion Certificate		
18	MOA		
19	Statutory Auditors Appointment		
20	TAN		
21	NAAC Certificate		
22	List of regulatory / accrediting bodies for our colleges		
23	GST Certificate		

15.2 Incentive policy



Incentive for Research Publication – Revised (With effect from 1st August 2020)

1) Objectives:

- 1.1) To encourage faculty members and students of Manipal Academy of Higher Education (MAHE) to publish their research outcomes in quality journals, as provided in the MAHE approved list of journals.
- 1.2) To improve the quality and citations of research publications from MAHE.

2) Applicability:

- 2.1) The faculty and student publications having MAHE affiliation, published in MAHE approved journals list after August 01, 2020 shall be considered for Incentive points.
- 2.2) Short surveys, letter to the editor, erratum, replies to articles, conference abstracts and articles in press <u>do not</u> qualify for incentive points.
- 2.3) Faculty members who are relieved on retirement/ resigned and relieved from their duties (with an unmarred service record) and publish their research work after August 01, 2020 are eligible to receive the incentives. However, the claim for incentives can be made within one year of relieving. Students may also apply within one year of being awarded the degree. Therefore, the eligible faculty members/students shall claim their incentive points within one year from the date of relieving as mentioned in this clause, failure of which will make them ineligible to claim incentives thereafter.

3) Eligibility:

- 3.1) Fulltime faculty members/ students who are on the rolls of MAHE including faculty members appointed under post retirement engagement policy are eligible for incentive points.
- 3.2) For faculty members, incentive points will be awarded for the articles published only after meeting the requirements of minimum two mandatory publications, annually (Calendar year Jan-Dec). The mandatory publications shall include only research and review articles published in the MAHE approved journals list.
- 3.3) Fulltime PhD scholars of MAHE are eligible for incentive points after fulfilling their mandatory requirement of minimum two publications in MAHE approved journal list.
- 3.4) Students enrolled for Post-graduation/ PG Diploma and Super-specialty courses of MAHE are eligible for incentive points after fulfilling their mandatory requirement of minimum one publication in MAHE approved journal list.
- 3.5) All undergraduate students of MAHE are eligible for incentive points for research publication published in MAHE approved journal list.

4) Responsibility:

- 4.1) The corresponding author shall take the responsibility to screen the paper for plagiarism, ethics approval, if applicable, and background checks about the potential, possible, or probable predatory scholarly journals before communicating the manuscript.
- 4.2) The names of authors eligible for incentive points must be furnished by the first author or corresponding author while uploading the paper on Research Data Management System within a month from the time of publication.
- 4.3) MAHE shall reserve the rights to revise the research incentive policy periodically.
- 4.4) Incentive points shall be awarded only after the paper (with Volume and page number) appears in Scopus.
- 4.5) MAHE approved list of journals would be updated in January and July every year and communicated across the university.

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4.6) Publications having no proper/correct affiliation of MAHE authors (includes first author, coauthor(s) and corresponding author) shall not be awarded incentive points,

5) Maximum Incentive:

5.1) Original research articles, review articles published in MAHE approved journals list only qualify for the maximum award incentive as per the cap provided.

9	(b)	(c)**	(Per paper)
6	36	36	108
4	24	24	72
8	18	18	54
2	12	12	36
;	6	6	18
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Authors can claim incentive points for a **maximum of three papers in a calendar year** for papers published in Q3 journals.

** The incentives to be equally shared among MAHE affiliated co-authors.

Note: In case of single author paper, an author can claim incentives either as first author or corresponding author.

Faculty members can publish papers in Q4 journals of MAHE approved list, towards meeting their minimum two annual publication requirements. There shall be no reimbursement of APC and incentive points for these papers published in Q4 journals.

Two papers captured in Scopus (published in the MAHE approved list of journals), with minimum points, for a faculty member in a given calendar year (Jan to Dec) would be counted towards mandatory requirement. Faculty members can claim incentive points for the remaining papers thereafter, excluding mandatory papers, as mentioned above.

5.2) The maximum incentive award for short communication and case reports published in MAHE approved journal list are given below. The papers published in this category will not attract additional reward incentive.

Percentile as per	First	Corresponding	Co-Author(s)**	Maximum
Cite Score	Author	Author		Incentives
Q1 and Q2	4	4	4	12

** The incentives to be equally shared among MAHE affiliated co-authors

Note: In case of a single author paper, an author can claim incentives either as first author or corresponding author.

- 5.3) Additional points or reward incentives are given to the papers published as listed below. The additional incentive points shall be equally distributed among all MAHE affiliated authors.
 - a) Corporate collaborations (National/International) (10%)
 - b) International Collaborations with Universities ranked among Top 500 in QS rankings (at the time of publication) - (15%)
 - c) International Collaborations with Universities ranked among Top 100 in QS rankings (at the time of publication) - (25%)

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- MAHE affiliated authors who publish 08 or more papers (only research/review article) in a calendar year - (20%)
- 5.4) Additional incentive points would be given to authors for papers published in journals, 'Nature' and 'Science' as listed below. The additional incentive points shall be equally distributed among all MAHE affiliated authors.

Journal	First Author	Corresponding Author	Co-Author(s)	Maximum Incentives
Nature (ISSN:0028-0836)	20	20	10*	50
Science (ISSN:0036-8075)	20	20	10*	50

* In case of only co-authors from MAHE listed in papers published in Nature and Science. If more than one MAHE affiliated co-authors are listed, 10 points would be equally distributed among all co-authors.

Note: In case of a single author paper, an author can claim incentives either as first author or corresponding author

These research incentive points would be carried forward to the next calendar year.

6) Books/ Book Chapters:

Books/Book Chapters published in Scopus indexed books shall receive incentive points as mentioned below.

Type of publication	Author
Book Chapter**	03
Book (Edited*/Authored**)	09

* For an Edited Book, only MAHE faculty members who are Editors shall receive incentive points. In the case of more than one Editor for a particular book, maximum points shall be equally distributed among all MAHE affiliated Editors.

** MAHE affiliated author shall receive full incentive points. In case of multiple authors from MAHE for the same book/book chapter, the maximum points will be equally distributed among MAHE affiliated authors.

7) Plagiarism and related issues:

In the event of any conflict about authorship or complaints related to scientific misconduct, a committee shall be appointed by the Directorate of Research under the direction of the university to investigate the matter as per the policy adopted by MAHE.

8) Incentive points for attendance in International conference:

- 8.1) Accumulated incentive points can be encashed with the approval of Head of Institution and the Registrar, MAHE. One incentive point is equivalent to Rs.1000 (INR one thousand only).
- 8.2) The points when not encashed could be accumulated to attend specialty conference(s) (conference organized by Professional Bodies/ Associations or conferences based on MAHE guidelines on attending conferences) only after prior approval from the Institutional Research Committee (IRC)/Head of the Institution and the Registrar, MAHE.

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8.3) Scheme for redemption of accrued points for participating in International Conferences is detailed in a tabular format below.

- 8.4) Only economy airfare and conference registration charges will be covered under this scheme.
- 8.5) The accommodation charges and per diem allowance shall be utilized from the annual conference entitlement as per the 'Travel Expenses Reimbursement' policy of MAHE.

Points* required	Country / Region
30	Within SAARC and Middle Eastern Countries excluding Turkey and Egypt
48	Within SE Asian Countries, Africa, Turkey, Egypt and other Asian countries
72	Within Europe, Australia, New Zealand
96	Within North America, South America, Central America, Latin America and Caribbean Countries

* Points earned through publications and granted patent incentive

9) Article Processing Charges (APC):

The faculty author(s) can utilize the incentive points to meet APC for journals included in MAHE approved journals list (excluding Q4 journals) only, upon approval from the Directorate of Research, MAHE, Manipal. Reimbursement of APC from the Conference entitlement policy of MAHE will be applicable only towards journals (excluding Q4 journals) in MAHE approved journals list, subsequent to approval from the Directorate of Research, MAHE, Manipal.

10) The existing incentive points:

The existing incentive points accrued by the faculty members as on Jul 31, 2020 shall be carried forward to be incentivized/encashed according to the new policy effective as on Aug 1, 2020.

Annexure I: MAHE Policy on Academic dishonesty and Plagiarism

Annexure II: Ethics in research [Data Integrity and Management]

Annexure III: MAHE approved list of Journals

This policy supersedes all earlier circular(s) on the subject (including FAIR and PRAISE).

Babhahit

REGISTRAR REGISTRAR MANIPAL ACADEMY OF HIGHER EDUCATION MANIPAL

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15.3 Research Data Management System (RMS)



Research Data Management System

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Research Data Management System

Introduction:

Research Data Management System (RMS) helps in activities associated with tracking the Research work at Manipal University. RMS is developed to streamline the research activity related data collation, data verification process and data reporting. This software helps to enable the staff members to record their Project grant data.

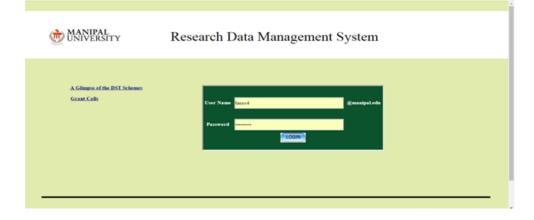
RMS User Manual:

This document is mainly to help the user know how to use this application, which is described below in the following steps.

It also contains the detailed instructions regarding the usage of the system and the screenshots of it, which is helpful to understand the software easily.

Faculty Login:

The user must enter his/her Manipal Edu mail id and the corresponding password to enter the application. Depending on the user role, the login will direct the user to the respective sections of the application.



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Publication:

The faculty members can add/edit the publication they worked on and submit the publication for the approval. The respective Research coordinator can approve/rework the publication entries. Approved publication will be now available for the library clerk for uploading them to the E-Print.

Publication Entry:

- To create a new publication entry, use the publication entry option on the top navigation.
- Enter the required basic information in the publication entry page.
- Faculty can do the publication entry, update and search.

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Add new author:

• Faculty can add new author (MU/NonMU) and select author type as primary author or co-author. He also has the right to add new authors and also remove authors.

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• Journal details entry can be done by entering ISSN id or he can select the Journal from the available search window

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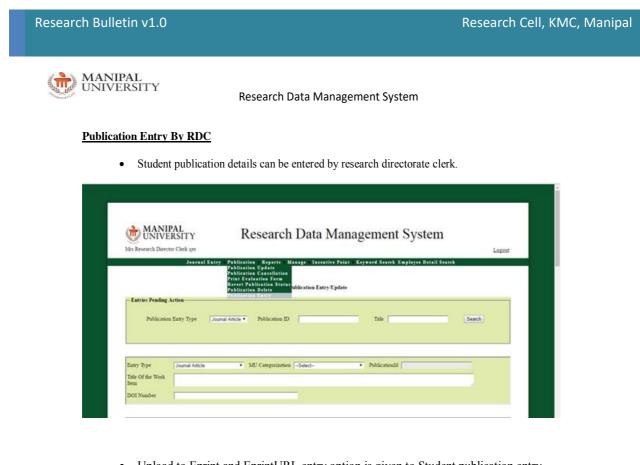
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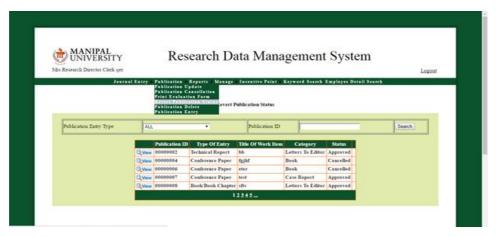
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• Journal View will list out the faculty authored publication and Student authored Publication

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• Here we can search the journals by entering the Journal category or by Entering the ISSN value

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Journal E	stry Publication Repo	rts - Manage - Incentive Point - Keyword Search Employee Detail Sear	rk –
	Index Agency: IndexAgnecy Name:	Index Agency	
		CLEAR SAVE/UPDATE VIEW	

• Index agency in this user can select by searching agency id.

Mrs Research Director Clerk qer		Logost
Journal En	try) Publication) Reports) Manage / Incentive Point) Keyword Se	rarch Employee Detail Search
	Index Agency Search Index Agency Name: Search Index Agency Name: Select 1 Google Scholar Select 1234 ITEST Select 2 Scopus Select 4 Web of Science	eh EXT

• After selecting the index agency here have option to save/update and view.

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Research File Upload

• New link is provided to upload excel file of Area of research interest.

MANIPAL UNIVERSITY Mrs Research Director Clerk ger	Research Data Management System
Jaaraal E — File Upload	ring - Pablication - Project - Reports - Manage a Jacontine Point - Search Pablication Charles Pablication Cancellation Prior Evalution Form Revert Pablication Status Pablication Pablication Faile Pablication Faile Select File To Lybood. Choose File No file chosen Select File To Lybood.

• Choose the excel file which need to be uploaded.

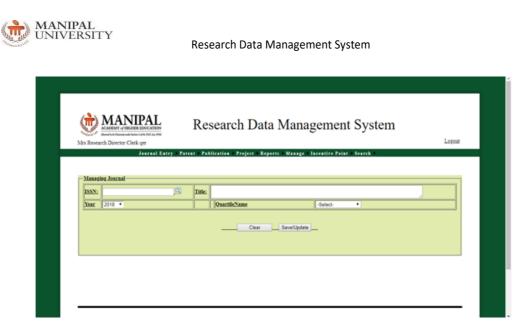


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Manage Quartile:

Mrs Resea	sch Director C			dication Project Reports M		- forces	Logeut
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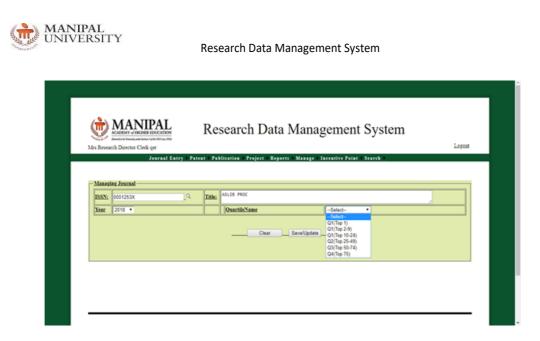
• To update the quartile value, Manage Quartile link is given to RDC Role.



• On Click of the image button list of journals with ISSN value will be displayed.

drs Reis	Journal		- Internet
	Search Journal Name:	Search EXIT	
	ISSN Title	AbbreviatedTitle	
100	Select ******* JOHNSON MATTHEY TECH	JOHNSON MATTHEY TECH	
	Select 00010782 Communications of the ACM	COMMUN ACM	
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(alan)	Select 00011541 AICHE Journal Select 00012092 AORN Journal	AICHEJ	
	Select 00012343 Archiv fur Rechts- und Sozialphi	losophia	
3	Select 00012919 ASHRAE Journal	ASHRAE J	
	Select 0001253X ASLIB PROC	ASLIB PROC	
1	Select 00012610 Planning	fgh	
1	Select 00012815 Tissue Antigens	TISSUE ANTIGENS	
	1		1

• Click on the select to select the journal value.



• Select the respective quartile value then click on Save/Update Button.

172.16.18.106 says Quartile Value saved Succesfully OK	

• On click of Save/Update button it will show alert message as Quartile Value saved successfully.



Manage User

• To Manage the User, Manage User link is given To RDC

ALDEMY of HIGHER EDUCATION Dearly to Extend (Articolar)	Research	n Data Manageme	nt System	
Mrs Research Director Clerk qer				Logout
Journal Entry > Pa	tent + Seed Money + P	blication > Project > Reports > Manage Manage	Incentive Point > Search >	
		Manage	User	
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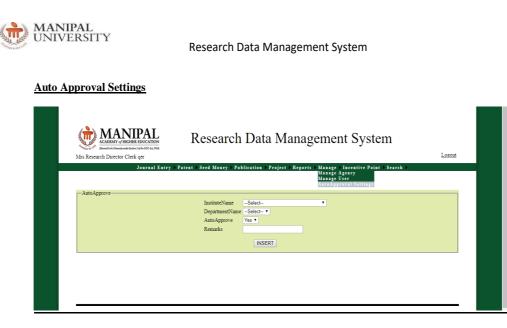
- RDC Can Enter the New User Details or Update the existing User details.
- Onclick of the View Button will show the existing User Details

Upload JC Report:

• RDC can Upload the Impact factor of journals by excel sheet

Managing Journals	Seed Money + Publication + Project + Reports + Manage + Incentive Point + Searc	Logout
	Ele Upload ear elect File To Upload : Upload : Upload Instructions to generate the JCR file	

• Choose the excel file then click on Upload button.



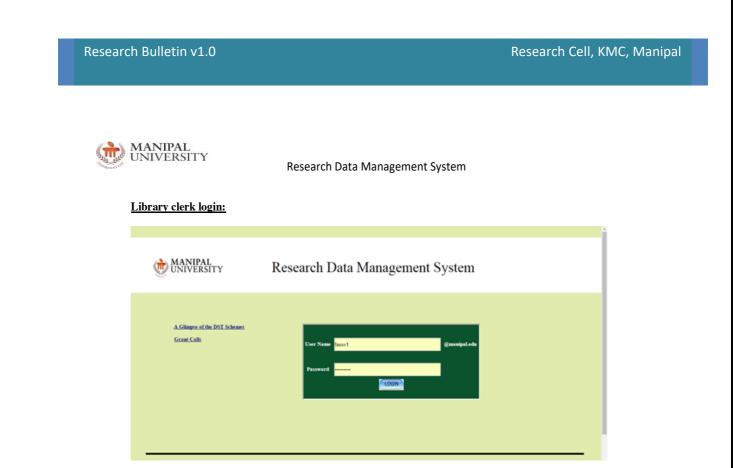
- RDC can manage the Auto Approval Settings
- Select the Institution and department then select auto approve to Yes/No, Enter the Remarks Then Click on the Insert Button to save the Changes.

Admin Login:

User File Upload

• User File Upload is given to Admin Role On click of the Upload button the HR Data(Faculty details) From One Server are Copied To the RMS Database.

	Manage AutoApp User Fil	User proval Settin e Upload	gs		
— Upload User Data ——————————————————————————————————	Note: To	undate HR d	ata click on uploa	d button	
		· _	pload		
	Previ	ously updated	l user record(Ma	x. 10)	
	Date	New Record	Upated Record	Download File	
	04/11/2016 14:12:01	25	19	No File Generated	
	03/10/2016 17:13:07	35	25	No File Generated	
	06/09/2016 10:31:01	42	111	No File Generated	
	19/08/2016 17:15:13	130	2155	No File Generated	



irs Research Library Clerk1	lication Project Repo	ete - Incentive Pe	at Kerward Sauch 1	Inglaves Deta	It Sareh		Logout
2 a b 7 a b	lication Entry lication Update at Evaluation Form		,				
			ePrint Update				
			Search				
Publication Entry Type:	ALL	Publicati	on ID	Title		_	Search

- Publication with approved status are listed out
- User must select upload to E-Print option as Yes and has to enter Eprint URL then save it.

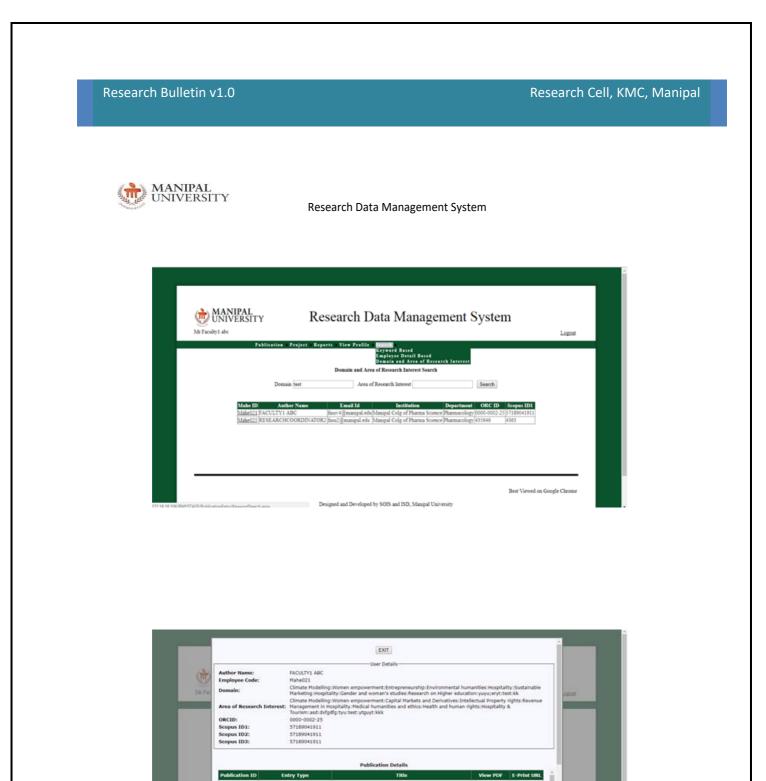


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Publisher ret		Year: 2016	Month: Aug *	Page 2 Volume 33
		Generic Details		
Official URL:	gy			
Abstract:	ty			
Keywords:	tu		ERF Related?	No 🔻 (Environmental Research Fund)
		EPrint		
View PDF:	QView		upload To EPrint: *	Yes No
EprintURL: *				Yes

• After save it will show alert message as publication data uploaded successfully !!

Publication = Project = Reports = Streative Point = Kryword Strarch: Employee Detail Scarch ePrint Update Search Publication Entry Type: ALL • Publication ID Title	
Search	
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Publication Entry Type: ALL Publication ID Title	
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	اسما
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• Faculty has given the option to insert, view and edit the research data. On click of Member Id user details, Publication details and Project details are shown in popup window.



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Journal Article



Publication Project	t » Reports » View Profile » Search »		
	View and Manage	Profile	
	Researcher ID I	Profile	
Mahe ID	Mahe021		
ORCID	0000-0002-25		
Scopus ID1	57189041911		
Scopus ID2	57189041911		
Scopus ID3	57189041911		
	Note: Please merge multiple Scopus ID	s, update ORCID too if there is any change	
	Domain & Area of Res	earch Interest	
humanities Hospitalit	en empowerment:Entrepreneurship:Envi y:Sustainable Marketing:Hospitality:	ironmental Gender and woman's	
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		A	

Incentive Point:

• Research director clerk have rights to Incentive point entry, Article wise and Member wise point adjustment, Incentive point view.

DINIVERSITY	Research Data Management	System
A Gimpse of the DST Schemes Grant Calls	User Name faitu3 Pattword	@manipal.edu

Research Cell, KMC, Manipal

Research Bulletin v1.0



Research Data Management System

Incentive Point Entry/Approval:

- Incentive point entry can be done only after uploading to e-Print.
- Incentive point entry will be done Publication wise and only for Journal Articles.

MANIPAL UNIVERSITY	R	esearch	n Data M	anagement Sy	ystem	Legeut
	al Entry - Publicat	ion Reports - N	(anage Incentive)	Point - Keyword Search Emple	vee Detail Search	Legent
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			->p			
Publication Entry Type	Journal Article •	Publication ID		Title	Sea	rch.

• On click of Search button the publication which are approved are listed out.

MANIPAI UNIVERSI	rk qer		Data Mana			Logent
	Journal Entry - Public	cation Reports M	anage + Incentive Point +	Ceyword Search Emplo	yee Detail Searc	<u> </u>
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• Selecting 'edit' button will open details of respective Publication entry – user can enter Base point and SNIP/SJR point and save it.



	Journal Article	 MU Categorizat 	tion Book	*	PublicationId	0000054			
Title Of the V Item	Vork test							*	
Journal Publi	sh Details								
Upload To E	-Print · Yes · No								
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- For Pre July Publications Incentive Point Entry is done according to the old schema.
- Changes in points entry system from October 2017 as per new policy is implemented.

Journal Publish	Details			_				
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ISSN 00010782	Num	e Of Journal Communications	10.400		SNIP		SJR	
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-Author Details-			Department/Course			Base Point		oint Total Po*
-Author Details-	Author Name	Institution	Department/Course Pharmacology	CorrAuth	AuthorType First Author *	Base Point		
Author Details- Type MU-Staff *	Author Name Mr Faculty1 abc	Testifution Manipal Colg of Pharma (Department/Course Pharmacology	CorrAuth	AuthorType First Author *	Base Point	SNIP/SJR P	13.00
- Author Details- Type [MU-Staff *]	Author Name Mr Faculty1 abc	Testifution Manipal Colg of Pharma (Department/Course Pharmacology	CorrAuth	AuthorType First Author *	Base Point	SNIP/SJR P	13.00

- Changes in points entry system from Post July 2018 as per new policy is implemented.
- For Post July 2018 Publications Incentive Point Entry is done according to the quartile value.



	rnal Article	 MAHE Categorizat 	bon Original Full	 PublicationId [00 	0000236		
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		Note: Quartile Valu	ae not found please Update ti	he Quartile Value.			
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		of Journal ASLIB PROC		SNIP		SJR	
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Publish Month July *			actor 1.147 5-Year				
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Publish Month July • Quartile	Publish Year	2018 T I-Year Impact F		Impact Factor 0.8 Department/Course	IF-App	licableYear 2015	
Publish Month July • Quartile Author Details Type Roll	Publish Year	2018 v 1-Year Impact Fr Author Name	Institution	Impact Factor D 8 Department/Course Pharmacology	E-App CorrAuta	licableYear 2015 AuthorType	
Publish Month July * Quartile	Publish Year	2018 • 1-Year Impact Fr Asthor Name Mr Faculy1 abc	Testifution Manipal Colg of Pharma (Impact Factor D 8 Department/Course Pharmacology	E-App CorrAuta	AuthorType (CO-Author *)	

• If the Quartile value is not present, then Note will be displayed as The quartile value not found please update the quartile value.

Entry Type	Journal Article	 MAHE Categorizat 	Ref Revnew Article	 PublicationId [0] 	0000227			
Title Of the Work Item	fht							
Journal Publish Det	uils							
Upload To E-Print	* Yes 😳 No							
	Name O	f Journal AIAA Journal						
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Publish Month (34) Quartile (22) Author Details	• Publish Year	2018 • I-Year Impact Fa	ctor 1.638 5-Year Justifution	Impact Factor 1978 Department/Course	E-App CorrAuth			1
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Publish Month Jus Quartile Q2 Author Details Type	y Publish Year Top 25-49 Roll NorEmployee Code Inho21	Author Name	Institution	Department/Course Pharmacology	CorrAuth	AuthorType CO-Author	e Base Poin	t *
Publish Month Ju Quartile Q2 Author Details Type (MAHE-Staff *) N	Roll NorEmployee Code Anho221 1002194	Author Name Mr Faculty1 abc	Institution Manipal Colg of Pharma 5	Department/Course Pharmacology Accounting & Finance	CorrAuth Yes T	AuthorType CO-Author	 Base Point 12:00 x 3:00 	t *
Publish Month Au Quartile Q2 Author Details Type MAHE-Staff *) MAHE-Staff *)	Publish Year Top 25-49) Roll NorEmployee Code Anho21 1002194 1002213	Author Name Mr Faculty1 abc Ms Savitha	Tristitution Manipal Colg of Pharma (MAHE - University Office)	Department/Course Pharmacology Accounting & Finance Accounting & Finance	CorrAuth Yes * No *	AuthorType CO-Author CO-Author First Author	 Base Point 12:00 x 3:00 	

- The Author can claim points for a maximum of 2 papers in a calendar year for the papers published in Q4 journals.
- The Author can claim points for a maximum of 4 papers in a calendar year for the papers published in Q3 journals.



Entry Type Title Of the Wor Item	Journal Article k klkjlkjl	*			ок	00062		
	nt ⊛Yes ⊖No							
ISSN 00012092 Publish Month Author Details-	Name (Marc * Publish Year 2016	of Journal AORN Journal	5-Year Imp	act Factor	SNIP	F-Applicable Ye	SJR ar	_
Publish Month [CorrAuth			ar	SNIP/SJR P
Publish Month Author Details -	Marc * Publish Year 2016	• 1-Year Impact Factor	Department/Course		AuthorType	F-Applicable Ye	ar	SNIP/SJR P
Publish Month Author Details – Type	Marc • Publish Year 2016 Author Name	I-Year Impact Factor Institution Manipal Colg of Pharma §	Department/Course	CorrAuth	AuthorType	F-Applicable Ye Total Point	ar	SNIP/SJR P

• On click of save button it will show alert message as Incentive point saved successfully.

Title Of the Wo Item Journal Publish		_			ок			<i>k</i>
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Author Details					AuthorType			SNIP/SJR P
	Mare * Publish Year 2016 Author Name Mr Faculty1 abc	Institution	Department/Course		Author Type CO-Author	• Total Point		SNIP/SJR P
Author Details	Author Name	Institution Manipal Colg of Pharma S	Department/Course	CorrAuth	CO-Author	• Total Point		SNIP/SJR P
Author Details Type MU-Staff *	Author Name Mr Faculy 1 abc	Institution Manipal Colg of Pharma S School of Information Scie	Department/Course Pharmacology	CorrAuth No *	CO-Author	Total Point T 1 2		SNIP/SJR P

• On click of Approve button it will show alert message as Incentive point approved successfully.

Research Cell, KMC, Manipal



Research Data Management System

SNIP/SJR Point Entry:

• Publications for which Incentive point entry is already done are applicable for SNIP/SJR Point Entry.

		3	il Entry – Paten	Pablication P	oject + Reports + Manage SNIP/SJR Point Entry Type: * Publication	Incentive Point Searc Incentive Point Entry MemberWise Point Vier Item Wise Point Adjust Additional Point Award Michael Intry MemberWise Point Adju Additional Reward Ince	nent	
Put	lication	Entry Type	Journal Article •	Publication ID		Title		Search
			Type Of Entry		Title Of Work Item			Student Author
B****	-	00000047	Journal Article				2017	Y
B****	2	00000061	Journal Article	hyujyuy			2017	Y
B****	3	00000067	Journal Article	fgh			2017	Y
B****	4	00000068	Journal Article	ryh			2017	Y
B/ 141	5	00000072	Journal Article	axe			2017	N
					12345			

• On click of the search button list of articles for which Incentive point entry is already given will appear. Click on the edit button to view the details of the article.

	Journal Article	 MAHE Categorization Ori 	iginal Full	 PublicationId 000000 	72	
Title Of the Wor Item	k zxc					
-Journal Publish	Details					
Upload To E-Pri	nt 🖲 Yes 🔾 No					
ISSN 00010782	Nam	e Of Journal Communications of the AC	CM	SNIP	S/R	
Publish Month	Apr • Publish Year 2017	1-Year Impact Factor 3.301	5-Year Impact Facto	e [4.425 IF-App	icableYear 2015	
	Apr * Publish Year 2017	1-Year Impact Factor 3.301	5-Year Impact Facto	e (4.425 IF-App	icableYear 2015	
Publish Month	Apr • Publish Year 2017	I-Year Impact Factor 3 301	5-Year Impact Facto	e 4.425 IF-App	icableYear 2015	
	Apr • Publish Year 2017 Author Name				icableYear 2015 IP/SJR Point Total Point	
- Author Details-			artment/Course Corr.	Auth AuthorType SN		
- Author Details	Author Name	Institution Depa	artment/Course Corr.	Auth AuthorType SN	IP/SJR Point Total Point	



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Type	Author Name	Note: Post July 2013	Papers are not applicab Department/Course	energia de la companya de la	oint entry _ AuthorType SNIP/SJR	Point Total Point	
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MAHE-Staf *	Mr Dinesh Naik	MAHE - University Office	Accounting & Finance	No +	CO-Author		
	Ms Visitha	MAHE - University Office	Accounting & Finance	No.+	CO-Asthor		
MAHE-Stat *	and the second se	MAHE - University Office	Accounting & Finance	No *	CO-Author *		
MAHE-Stat *	Ms Savitha						

- Post July 2018 Papers are not applicable for SNIP/SJR point Entry.
- After entering the SNIP/SJR Point click on the save button.

-Publication Deta	ds	SNIP/S/R Point Sa	ays ved successfully				
Entry Type	Journal Article			ОК	00000072		
Title Of the Wor Item	k zxc						
Journal Publish	Xetails-						
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ISSN 00010782	Nan	ne Of Journal Communication	is of the ACM		SNIP	SJR	
ISSN 00010782	Nan	ne Of Journal Communication	is of the ACM		SNIP	SJR	
		ne Of Journal Communication		ct Factor 4.425	SNIP IF-Applicabl		
				ct Factor 4.425			
				ct Factor 4.425			
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Publish Month Author Details Type	ige * Publish Year 201 Author Name	7 * 1-Year Impact Factor () Institution	301 5-Year Impa Department/Course	CorrAuth Aut	IF-Applicabl	leYear 2015 JR Point Total Point	
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Publish Month Author Details Type	ige * Publish Year 201 Author Name	7 * 1-Year Impact Factor () Institution	301 5-Year Impa Department/Course	CorrAuth Aut	IF-Applicabl	leYear 2015 JR Point Total Point	

• On click of the Save Button it will show alert message as SNIP/SJR Point Saved successfully.



Research Data Management System

Additional Reward Incentive Point:

• The articles published after July 2018 are applicable for ARI point Entry.

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	Jour	ual Lotry Patent	Publication Pr	ARI Point Entry	pr Incentive Point > S Intentive Point No. Member Wite Point Ni Item Wite Point Vi Item Wite Point A Additional Point A SNIP/SJR_Entry MemberWite Point	ry View w justment rard Adjustment	
				Type: Publication	ALBREAT SALLA		
Publicatio	n Entry Type	Journal Article •	Publication ID		Title		Search
SLN	Publication I	D Type Of Entry		Title Of Work Ib		Publication	a Year Student Author
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	00000223	Journal Article	ffrg			2018	N
D* 2							
D* 3	00000224	Journal Article	ågt			2018	8

• On click of the search button list of articles published after July 2018 will appear.

ISSN 00012530								
about poor 2000	Name	Of Journal ASLIB PROC			SNIP	5	IR	
Publish Month Quartile	Jury * Publish Yes G4(Top 75)	ar 2010 • 1-Year Impact Fi	acter 5-1	iear Impact F	ictor	IF-Applicabl	eYear [
Author Details								
Type	Author Name	Institution	Department/Course	CerrAuth	AuthorType	ARI Point	Total Point	
MAHE-Stat +	Mr Faculty1 abc	Manipal Colg of Pharma 5	Pharmacology	(Yes. *)	First Author *			
MARE-Stat *	MrDinesh Nalk	MAHE - University Office	Accounting & Finance	No +	CO-Author *			
MAHE-Stat *	Ms Vinutha	MAHE - University Office	Accounting & Finance	No +	CO-Author •			
MAHE-Stat *	Ms Savitha	MAHE - University Office	Accounting & Finance	No +	CO-Author *			
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• Additional reward incentives are not applicable for the Journals in Q4 Quartiles.

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Research Data Management System

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• Additional reward incentives are applicable only for the Journals in Q1 or Q2 or Q3 Quartiles.

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• After entering the ARI Points click on the save button it will show alert message as Additional Reward Incentive Point Saved successfully.



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- Select the type as Publication.
- Points adjustment can be done by entering Publication Id/ from the available popup search window.

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		Select	Mahe021	MR FACULTY1 ABC	
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• User can edit Base point and SNIP/SJR points and Save it. After save an alert message shows as Incentive point saved successfully.

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• Points adjustment can be done by selecting Member Id from the available popup search window.

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• Enter Employee Code and On click of Search button Article wise points, Points transaction detail can be viewed.

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Item-Wise Point View:

- Select the type as publication.
- Enter Publication Id and click on search will display Publication details and Author details.

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	Publication Id: 00000219 Search
-Publication Detail	
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Author Details	
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Research Cell, KMC, Manipal



Research Data Management System

Additional point award:

• An additional incentive of 25% of the incentive points is calculated once in a year, would be awarded to authors who publish 6 papers or more.

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Jauraal Entry -	Publication Reports Manage Incentive Point Keyword Sourch Employee Detail Incentive Point Safety ArticleWise Point Adjustment Incentive Point Marguet Additional Point Additional Point	Search
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• Enter Member Id, Remarks and select year from dropdown. On click of save it will display alert message as Additional point award updated successfully.

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Research Bulletin v1.0



Research Data Management System

Incentive point utilization:

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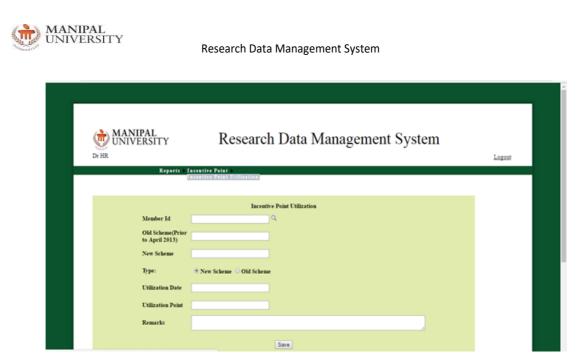
- For Role HR has the access to both Faculty and Student of respective institute to Deduct the point.
- Added Role HRF has the option only for Faculty point utilization entry for respective Institute
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 Institute
- Added Role IPV has the option to view the Incentive point details of Faculty and Student for respective Institute

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User Name Table

• Select Member Id from the available popup search window and enter Utilization point, Remarks and then save it.



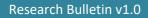
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	Select	MAHE0008359	BALAJI B		
	Select	MAHE0010507	SUDHAKARA UPADYA P		
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• On click of save button it will show alert message as Utilization point entered successfully.

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• After getting alert message Points Utilization History can be viewed.

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Center for Software Development

 Emp Code/Roll No
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Research Data Management System

Revert Incentive Point:

• To Revert the already Entered incentive points revert incentive point link is given to RDC

- Onclick of the search button Publications with Incentive point already given are listed out.
- Click on the Edit button to view the details.

				Type: Publication			
Publication	Entry Type	Journal Article 🔻	Publication ID		Title		Search
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- Authors with Reverting points and the old current balance and after Revering the points new current balance are shown
- In case of additional points are given to any of the authors then additional points also reverted.



Research Data Management System

Type	R	coll No/Employee Code	Author Name		Institution	Departs	nent/Course	CorrAuth	AuthorTyp	e ^
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MAHE-Staff	• 11(002194	Ms Savitha		MAHE - University Office	Accountin	g & Finance	No *	CO-Author	•
MAHE-Staff	۲ 11(002213	Ms Vinutha		MAHE - University Office	Accountin	g & Finance	No *	CO-Author	Y
MAHE-Staff	• 11(002458	Dr Nagendra		MAHE - University Office	Accountin	g & Finance	No *	CO-Author	Y
Roll No/Employee	Code	Author Name Mr Faculty1 abc	Old CurrentBalance 505.49	Reve Poi		lPoint	UpdatedAd	lditionalPoin	t NewCurre	entBalance
1002175		Mr Dinesh Naik	35.25	0.75	0.0		0.0		34.5	
1002194		Ms Savitha	915	0.75	0.0		0.0		914.25	
1002213		Ms Vinutha	24.38	0.75	5.88		0.0		17.75	
1002458		Dr Nagendra	19.19	0.75	4.44		0.0		14	

• Enter the remarks then click on the save button.



Research Data Management System

Contact Information

CSD OFFICE

Academic Block 5, MIT Campus, Manipal: – 576104. Udupi District Phone No: 0820 - 2925037

15.4 MAHE PhD guidelines



Manipal Academy of Higher Education (MAHE)

Ph. D. Regulations

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Ph.D. Program at Manipal Academy of Higher Education

The Manipal Academy of Higher Education offers Ph.D. programs in various disciplines through its constituent institutions and departments.

Complete and updated information on Ph.D. and doctoral training program will be available at all times at

https://manipal.edu/mu/academics/phd-mahe.html

Handbook

The handbook is intended for prospective and present Ph.D. candidates, but the information will also be useful for supervisors, academic staff and administrative support staff. Members of expert evaluation committees and support staff involved in organising the public defense of thesis will also find useful information. The handbook focuses on the Ph.D. candidate and is therefore structured to meet their needs.

The following documents are available at

https://manipal.edu/mu/academics/phd-mahe/handbook-downloads.html from the website and are available as annexures in the handbook.

- 1. Application form
- 2. Format for PhD protocol submission
- 3. Course work grade sheet template
- 4. DAC meeting template
- 5. Progress report template
- 6. Synopsis
- 7. Thesis cover page
- 8. Certificates
- 9. Declaration regarding plagiarism
- 10. Manipal Academy of Higher Education plagiarism policy

Research Bulletin v1.0

1. Designation of the Course: Doctor of Philosophy (Ph.D.)

The degree awarded by the Manipal Academy of Higher Education (MAHE), conferred upon a candidate after having satisfactorily completed the prescribed course of study and meeting with other conditions laid down by the University qualifies for the award of Doctor of Philosophy abbreviated as Ph.D.

2. Admission & Eligibility

A candidate seeking admission to the Ph.D. program must have

- A postgraduate degree or equivalent from universities/institutions recognized by UGC
- Postgraduate degree in Medical or Dental Sciences
- MBBS or BDS or an undergraduate degree which is of at least 5 years' duration
- Pharm. D. / Pharm. D. (Post Baccalaureate)
- 3. Categories of candidates

3.1 Full time candidates

Category 1:

Candidates with UGC - CSIR - NET-JRF / ICMR -JRF / DBT-JRF (BET)/JEST / INSPIRE Fellowship/qualified any of the UGC recognized national or state level eligibility test with a valid fellowship at the time of admission. Such candidates are exempted from the qualifying written test conducted by Manipal Academy of Higher Education and their selection would be by a panel of experts through interview

Category 2:

Candidates who qualify the MAHE Eligibility Test for Ph.D. programme. These candidates are eligible for grant in aid instituted by MAHE under the Dr TMA Pai Ph.D. Scholarship Programme. More than 150 fellowships per year would be awarded to eligible candidates, who would be called the Dr TMA Pai PhD scholars. Call for submitting application for Dr TMA Pai Scholarship would be made in leading newspapers and the university website. There would be two calls annually, one in February and the second in August. Selection of shortlisted candidates would be finalized through written test / interview.

Category 3:

Candidates who work in a funded project within the University or in research centres who are affiliated and collaborating with MAHE.

Category 4:

Integrated M Sc PhD program: MAHE offers integrated M Sc -PhD programs at the Manipal Centre for Natural Sciences, Kasturba Medical College and School of Life Sciences. https://manipal.edu/mu/academics/centers-of-excellence/mcnsmanipal/programs/program-list.html https://manipal.edu/kmc-manipal/programs/program-list/msc-clinicalembryology.html https://manipal.edu/sls-manipal/programs/program-list/master-of-science--m-sc-by-research-in-life-sciences-.html

Category 5: Jointly awarded PhD or Co-supervised PhD with International Universities.

3.2 Part time candidates

Candidates who are the faculty/employees of MAHE in pursuit of advancing their academic qualification are eligible to induct into the Ph.D. programme, upon recommendation from the Institutional Head, Research Supervisor and Doctoral Advisory Committee. This provision is for those candidates who shall take an undertaking that their routine responsibilities would be duly attended and under no circumstances compromised. The university shall reserve the rights to reconsider the registration of candidates who do not adhere to these guidelines.

4. Duration of the Program

The duration of the program will be from the time of registration till the submission of thesis.

- 4.1 The minimum duration for the postgraduates qualified for Full Time Ph.D. shall be 3years from the date of registration. Extension beyond the minimum period will be based on the recommendation of the Doctoral Advisory Committee(DAC). The maximum duration shall not exceed 6 years.
- 4.2 The minimum duration for the postgraduates qualified for Part Time Ph.D. shall be 4years from the date of registration. Extension beyond the minimum period will be based on the recommendation of the Doctoral Advisory Committee (DAC). The maximum duration shall not exceed 7 years.

5. Admission to the Doctoral Programme

Admission to MAHE Ph.D. programme will be undertaken on the following basis:

- 5.1 Applications for the Ph. D. program will be received by the University throughout the year and will be processed quarterly.
- 5.2 Research Scholars sponsored by funding agencies must submit their application along with a synopsis of the project and details of funding. This refers to individual Junior Research Fellowship holders (UGC/CSIR/ICMR/ DBT/Inspire Fellowship) selected on the basis of interview. There will be no separate notification by MAHE.
- 5.3 Whenever University faculty members have research grants with provision for appointing research scholars, the selection of candidates will be based on the guidelines of funding agency and of MAHE.
- 5.4 MAHE employees who seek admission to Ph.D. program must submit their application through proper channel.
- 5.5 Candidates selected under the Dr TMA Pai Ph.D. Scholarship scheme, must submit a copy of their scholarship approval along with their application.
- 5.6 Candidates intending to carry out research in collaborative research centres must submit a no objection letter from the appropriate authority of such centre. The applications must be forwarded by the research centres.

6. Registration Procedure

- 6.1 Every candidate has to register through one of the constituent institutions of MAHE.
- 6.2 There must be one registered supervisor / Co-supervisor from MAHE institution. (Procedure for registration of supervisor / co-supervisor is given in section 7.)
- 6.3 The candidate has to submit the following to the Head of Institution/Research Coordinator of the respective MAHE institution.
 - I. The application in the prescribed format
 - II. Copies of the Master's degree certificate
 - III. Copies of the master's degree marks / grade sheets
 - IV. Two copies of the research proposal\$ (soft, black bound, 15 20

pages)

- V. Soft copy of the research proposal
- VI. Plagiarism check/Similarity index report signed by the candidate and supervisor

The research proposal should highlight the proposed research plan clearly stating the following:

- I. Introduction to the proposed research
- II. Literature survey
- III. Research gaps identified
- IV. Objectives
- V. Detailed methodology
- VI. Expected outcomes
- VII. Importance of the proposed research
- VIII. Research plan schedule
- IX. Pilot study/Preliminary work done
- X. Expenses and funding
- XI. References
- 6.4 The research proposal should be explanatory, about 10 15 pages, printed on A4 size paper, 1 inch margins on all the sides with black soft bind. Font size for headings should be 14Bold, subheadings should be 12 Bold and text should be 12 normal with 1.5 line spacing. The references should follow a standard format and all the references should be cited in the text.
- 6.5 If the proposal involves use of animals or human subjects/materials, proper ethical approval should be submitted along with the application.
- 6.6 If the proposal involves use of transgenics / recombinant DNA technology, institutional bio-safety committee approval should be submitted.
- 6.7 The Head of Institution/Research coordinator in consultation with the Supervisor will form a Doctoral Advisory Committee (DAC) for each candidate (Organization of DAC is given in Section 10).
- 6.8 The Head of Institution/Research coordinator will arrange for a protocol presentation by the candidate at the institution. The institutional research committee and DAC should be a part of this meeting. It is advisable to have an external member to be a part of this meeting in order to get an outsider's perspective and feedback. Participation of this member through online mode is acceptable.
- 6.9 Once the proposal is accepted, the Head of Institution/Research coordinator will send the following documents:
 - I. Covering letter from the Head of the Institution
 - II. Copy of the signature sheet of DAC members
 - III. Recommendations of the DAC
 - IV. List of DAC members with address, phone number and e-mail ID

- V. Sanction letter of funding (if any)
- VI. Duly completed application form
- VII. Change of name affidavit (if there is any change)
- VIII. Copy of the Master's degree certificate
- IX. Copies of Master's degree marks / grade sheets
- X. One copy of the research proposal (soft, black bound, 15-20 pages)
- XI. Soft copy of the research proposal
- XII. Plagiarism check/similarity index report signed by the candidate and supervisor to:

Director, Centre for Doctoral Studies Ground Floor, Advanced Research Centre Manipal Academy of Higher Education Manipal-576104 |Karnataka |India Tel: +918202922017 Email: cds.mahe@manipal.edu

- 6.10 The candidate will be called to present the research proposal to the MAHE-Ph.D. Protocol Approval Committee (PPAC) on a date notified by the University.
- 6.11 Presence of Supervisor/Co-Supervisor is mandatory during the presentation.
- 6.12 Final registration will be permitted after approval by the MAHE PPAC
- 6.13 If approved, the date of presentation at MAHE Ph.D. Committee will be the date of registration.
- 6.14 If modifications are suggested, date of submitting the modified proposal to the Office of Director, Centre for Doctoral Studies (CDS), MAHE will be the date of Registration.

7. Recognition of Supervisor

7.1 Eligibility:

a) For Non-Medical: Five research publications in SCOPUS indexed Journals after Ph.D. registration of which three should be after award of PhD or should have completed atleast two years post-doc research. All the five papers should be a first author publication or corresponding author publication.

b) For Medical/Dental: Must be a postgraduate medical teacher as per MCI/DCI guidelines (or 5 years teaching experience after MD/MS/MDS) and have 5 original research publications in SCOPUS indexed Journals. All the five papers should be a first author publication or corresponding author publication.

- 7.2 The Completed application must be submitted to the Head of Institution/ research coordinator of the respective institution through proper channel along with
 - a) Attested copies of the Degree Certificates
 - b) Copies of Five original research articles in Scopus Indexed Journals in the relevant field.
- 7.3 The Head of Institution/Research coordinator, along with a separate recommendation letter, has to send the application to:

Director, Centre for Doctoral Studies

Ground Floor, Advanced Research Centre

- Manipal Academy of Higher Education
- Manipal-576104 |Karnataka |India
- Tel: +918202922017

7.4 The Director, CDS, MAHE will forward the applications to MAHE-Ph.D. Core Committee(PCC) for approval.

7.5 A formal communication will be sent to the approved Supervisor.

- 7.6 Director, CDS, will obtain the approval of the MAHE Academic Senate.
- 7.7 Guidelines for recognized Supervisors:
 - 7.7.1 A recognized Supervisor should have
 - a) Not more than 8 Ph.D. students registered with him as Supervisor at any given time as a Chief Supervisor
 - b) Not more than two students per calendar year
 - 7.7.2 The supervisor and the co-supervisor(s) should not be closely related to each other and to the candidate.

7.7.3 The supervisor should not have completed 65 years of age at the time registration of the candidate.

- 7.8 In case of absence of the supervisor for a prolonged period of time, the Vice-Chancellor may appoint another Supervisor who is qualified, with the recommendation of the DAC.
- 7.9 If no new candidate registers under a supervisor for a period of 5 years from the date of registration of a previous candidate, guideship will be discontinued.
- 7.10 Obligation of the Research Supervisor

The Supervisor is expected to supervise the progress of the student at every stage of the programme. He is expected to inculcate ethics and good conduct of research. The other responsibilities include:

- a) Constitution of the DAC in consultation with the DAC chairperson (See 8.1a)
- b) Conducting six-monthly DAC meetings and submission of the minutes of meetings.
- c) Submission of the panel of 10 examiners along with their CV and list of publications with the approval of DAC six months before the submission of thesis.
- d) Organization of an open pre-submission presentation of the candidate.

8. Doctoral Advisory Committee (DAC)

8.1 The Doctoral Advisory Committee has to be constituted for each research candidate, consisting of

- a) Chairman* (Head of the Institution or his nominee)
- b) Research Coordinator for Ph.D. programme
- c) Head of the Department
- d) Supervisor
- e) Co-Supervisor (if recommended)
- f) Two Subject Experts(should have a Ph.D. / MD / MS / MDS / MCh / DM degree)
- *If the HOI is the supervisor, a university representative will be the chairperson.
- 8.2 The candidate should present the research proposal to the DAC
- 8.3 The committee may recommend/suggest modification/reject the proposal
- 8.4 If recommended, the candidate's application along with supporting documents(given in section 6.9) has to be sent to Director, CDS, MAHE.
- 8.5 After the approval of MAHE-Ph.D. committee, the DAC will meet twice a year to monitor the progress of research work of the candidate. The candidate has to present the progress to the DAC once in 6 months.
- 8.6 Every six months, proceedings of the DAC meeting along with the report submitted by the candidate has to be forwarded to the Director, CDS, MAHE.
- 8.7 At the end of research work, the candidate has to present the complete work to the DAC, called Pre-Synopsis presentation.
- 8.8 Copies of the DAC recommendation should be sent to the candidate, Director, CDS, MAHE and Registrar-Evaluation, MAHE.
- 8.9 Obligation of DAC

The members of the DAC have to be proactive in enhancing the quality of the research work, publications and thesis through periodic evaluation. The DAC meeting must be conducted with a minimum of 4 members participating. Specific functions:

a) To review the research proposal and finalize the topic of research

b) To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.

c) To periodically review and assist in the progress of the research work of the research scholar

d) A research scholar shall appear before the Doctoral Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance.

e) In case the progress of the research scholar is unsatisfactory, the Doctoral Advisory Committee shall record the reasons for the same and suggest corrective measures.

f) If the research scholar fails to implement these corrective measures, the Doctoral Advisory Committee may recommend to the University with specific reasons for cancellation of the registration of the research scholar.

9. Course Work and Seminar

- 9.1 The candidate has to complete a course work of <u>12 credits during the first year</u> of research work.(Credits are based on the workload of the learner, with one credit point generally corresponding to 35 to 40 learning hours)
- 9.2 <u>One or two</u> (Only) of the courses can be chosen from the list provided below. These are offered at the MAHE Campus (for students in the Manipal Campus).
- 9.3 The specific courses pertaining to thesis work may be in the form of hands on training/attending workshops / MOOCs suggested by the DAC. Some of the credits can be obtained through course work done outside the home institution, preferably in a well-known research institute, pertaining to the candidates' area of research.
- 9.4 <u>The course work must include "Research Methodology" as one of the courses</u> with a minimum of four credits.
- 9.5 The minimum requirement for PhD related coursework is 55% in institutions where marking scheme is followed. In institutions following MAHE 10-point grading system, the PhD candidate should complete the coursework suggested by the DAC with a minimum CGPA of 8. Minimum grade allowed in any of the coursework taken shall be C. For students who do not qualify, the DAC should suggest that the course may be repeated or take alternate courses that are equivalent and ongoing, to avoid delay in coursework completion.
- 9.6 The DAC Chairman will issue the comprehensive grade sheet, in the format specified by the university, to the candidate after successful completion of course work by the candidate, a copy of which is to be sent to Director, CDS, MAHE.

Research Cell, KMC, Manipal

Sl. No.	Course	Offered at	Contact details	Number of credits
01	Certificate course in Biostatistics, Epidemiology and Research methodology	Department of Statistics, MU	stats.mahe@ manipal.edu	Six
02	Certificate course in Bioinformatics	School of Life Sciences, MU	office.mlsc@ manipal.edu	Three
03	Project Management	Department of Humanities and	humanities.mit@	Four
04	Advanced Research Methodology	Social Science, MIT	manipal.edu	Four
05	Certificate course in Bioethics	Centre for Bioethics, MU	bioethics.mu@ manipal.edu	Two/Four
06	Academic research and writing	Manipal Centre for Philosophy and Humanities	office.mcph@ manipal.edu	Three
07	Systematic review and meta analysis	PHESA	ph.cochrane@ manipal.edu	Four
08	Certificate course in Biosecurity	Department of Public Health	publichealth.mu@ manipal.edu	Five

9.7 A seminar related to the research work has to be given by the Ph.D. candidate on completion of research work, before submission of synopsis and thesis, at the institution, which is open to all and <u>mandatory for the DAC members to participate.</u>

10. Progress Report

- 10.1 The candidate has to submit the progress report of the research to the Director, CDS, every six months through proper channel.
- 10.2 The progress report must contain the details of the work carried out in the previous six months. It should be explanatory in about 10 12 pages/3000-3500 words and should be soft, black bound.
- 10.3 The progress report has to be shared with the DAC members prior to the presentation.
- 10.4 The HOI/ research coordinator/supervisor will arrange for the meeting of DAC. The candidate has to present the progress of the research to the DAC on the prescribed date.
- 10.5 The following documents need to be forwarded to the Director, CDS, MAHE: i. Copy of the signature sheet of DAC meeting

- ii. Recommendations of the DAC
- iii. One copy of the progress report

11. Fee Structure

The candidate has to pay the prescribed fee to MAHE through online transfer. The details for online transfer are given below:

Beneficiary Name & Address	Manipal Academy of Higher Education
Bank Account Number	007201000089
Name of Bank	ICICI Bank Ltd
Branch Name & Address	Manipal Branch
	Kasturba Hospital Complex, Main Road
	Manipal-576104, Udupi District
RTGS/NEFT IFSC Code	ICIC0000072
MICR Code	576229002
Account Type	SB
Swift Code	ICICINBBXXX
E-mail Intimation	sfin.fee@manipal.edu; sfin.mahe@manipal.edu

12. Extension of Programme Duration

- 12.1 Two months grace period will be given for thesis submission after the due date for submission. This grace period is not applicable to the candidates who have taken extension.
- 12.2 The programme duration may be extended beyond 3 years (for full time) / 4 years (for part time) on the recommendation of DAC if there are genuine reasons. However, the approval for extension must be obtained from the university.
- 12.3 Additional course fee has to be paid by the candidate for the extended period at prorate monthly.

13. Cancellation of Registration

- 13.1 Registration will be cancelled if there is no progress in work as evidenced by nonsubmission of progress reports/recommendation of the DAC
- 13.2 Registration will be cancelled if the candidate fails to submit the thesis before the maximum stipulated period.

14. Grace Period

- 14.1 Two months grace period will be given for thesis submission after the due date for submission.
- 14.2 After the grace period, the course fee has to be paid by the candidate at prorate monthly.

15. Synopsis Submission Guidelines

- 15.1 The candidate has to give an open pre-synopsis presentation at the institution. It should be notified well in advance to enable participation of other researchers. The presence of DAC members in this meeting is mandatory.
- 15.2 Two first author journal publications indexed in SCOPUS or Web of Science, in the field of research are mandatory for submitting the synopsis and thesis. The publications shall be related to the subject of the thesis. One of the two papers could be a review paper.

Quality of the paper:

If a candidate has ONE original article as first author in a journal in first quartile, with impact factor more than 3, he/she will be allowed to submit the thesis. The articles must be published/ accepted for publication before submission of thesis to Registrar-Evaluation

- 15.3 Ph.D. scholars must make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.
- 15.4 The DAC must approve the synopsis by analyzing the contribution of the research in the form of visible outputs like Publications, Patents, etc. or suggest modifications.
- 15.5 The candidate has to incorporate all the suggestions of DAC before submission of the synopsis.
- 15.6 The following documents are to be sent to The Director, CDS:
 - i) DAC recommendations
 - ii) Copies of 2 publications having MAHE affiliation
 - iii) Copies of conference attendance certificates
 - iv) Plagiarism check/Similarity index report with the signatures of the candidate and supervisor.
- 15.7 The candidate has to submit the following to:
 - The Registrar (Evaluation)
 - MAHE
 - 2nd Floor, Manipal.edu building, Madhav Nagar, Manipal 576 104.
 - i) DAC recommendations
 - ii) 2 hard copies of synopsis
 - iii) One soft copy in PDF format along with thesis
 - (refer section 16.1 for number of copies of thesis)

16. Thesis and Examination

16.1 Each candidate for the award of degree of Doctor of Philosophy shall submit two(02) copies of his thesis and one soft copy in PDF format (one or more files

of less than 10 MB size each), not earlier than the prescribed minimum period and not later than the prescribed maximum period.

- 16.2 Full time candidates and Research Scholars have to submit the No Dues certificate from the library, hostel and laboratories of the institution through which the candidate has registered, the Finance Department of MAHE and NOC from Director, CDS, along with the thesis.
- 16.3 The supervisor in consultation with the Doctoral Advisory Committee shall submit a panel of examiners with their brief CV and list of publications in the relevant field, at least ONE month before the expected date of submission of the thesis. The panel shall include 10 examiners from India, preferably from outside the state of Karnataka. However, accomplished examiners from reputed institutions within the state may also be included. International examiners, if included, should be in addition to the 10 Indian examiners.
- 16.4 The examiners must be the acknowledged leaders in the field of study undertaken by the candidate.
- 16.5 A board of two examiners shall be appointed by the Registrar- Evaluation for assessment of the thesis. The University reserves the right to select suitable examiners who may not figure in the submitted list.
- 16.6 The university shall ascertain from the external examiners their willingness to offer themselves as examiners for a particular thesis. A copy of the synopsis of the thesis shall be sent to the external examiners. On acceptance of the willingness, the thesis will be sent to them.
- 16.7 The examiners shall submit a detailed critique on the thesis. Their recommendations for acceptance of the thesis must accompany a precise certificate that the candidate's work has advanced the existing knowledge on the subject and is a standard research work suitable for publication. In case, any of the examiners is of the opinion that the thesis has failed to achieve the desired standard, and is, therefore rejected, precise reasons for such rejection must be stated by the examiner. If the examiner feels that the thesis can be accepted after revision, he/she shall state the points on which revision is needed.
- 16.8 The examiner may be requested to send some questions to be asked to the candidate at the time of viva voce examination to seek clarifications on the points raised by him/her. These questions / comments shall be made available to the examiners appointed for conducting the viva-voce examination before the commencement of the viva-voce examination.
- 16.9 If the thesis is rejected by the examiners, it will stand rejected and shall not be referred to any other examiner.
- 16.10 In the event that the thesis is rejected by only one examiner, it will be referred to another examiner from the approved panel. In case the thesis is approved by this examiner, it will be considered as unanimously approved.
- 16.11 If one or more examiners recommend re-submission of the thesis after modifications, it shall be done within a maximum period of six months from the date on which the candidate is so informed by the university.
- 16.12 In case of re-submission / rejection / modifications, the candidate has to submit one soft copy of the thesis in PDF format (one or more files of less than 10 MB size each).
- 16.13 After the thesis has been approved by the examiners, a public defense of the thesis will be held, as well as the viva voce examination to adjudge the general proficiency of the candidate in the field of research work.
- 16.14 The candidate shall be entitled to appear at the defense of thesis and viva voce examination only if the thesis is unanimously approved by the Board of Examiners.

- 16.15 When the thesis is approved, the candidate has to submit the final thesis (TWO copies in case of MAHE institutions, ONE copy in case of research centres) along with a soft copy in PDF format during the defense viva examination.
- 16.16 If the report from any one of the external examiners is not received within four months, the thesis will be sent to another examiner from amongst the approved panel.
- 16.17 The board of examiners for the viva voce examination shall consist of one external and one internal examiner. Ordinarily, the Supervisor/Co-Supervisor(s) of the thesis / any expert appointed by the university will be the internal examiner. One of the external examiners from India who assessed the thesis of the candidate will be the external examiner at the viva voce-examination.
- 16.18 The topic, date and the time of the defense of thesis shall be announced by the University well in advance so that the faculty members and other interested in the topic of the thesis can be present.
- 16.19 At the viva voce examination, the candidate's general proficiency in the subjects allied to the field of his study shall also be evaluated.
- 16.20 Those attending the public defense, who are not members of the board of examiners, can participate by asking relevant questions with the permission of the examiners.
- 16.21 The board of examiners shall take into consideration the views and criticism, if any, of the faculty members and others participating in the public defense of thesis.
- 16.22 However, the result of the examination shall be decided solely by the members of the board of examiners.

17. Originality of work

Plagiarism in the thesis in any form or part thereof is viewed seriously and penalized as per MAHE norms.

The plagiarism policy can be downloaded at

https://manipal.edu/mu/academics/phd-mahe/handbook-downloads.html

While submitting the thesis for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.

All PhD theses submitted should be accompanied by a similarity index report where the similarity should not exceed 15%. While scanning for exact matches, option to be selected is "less than 3 words". Bibliography and words quoted can be excluded. First author papers which are included as annexures can be excluded

18. Publication of Thesis

- 18.1 The thesis shall not be published as a whole, without the permission of the University.
- 18.2 The university may grant permission for the publication under such conditions as it may impose.

19. Results

- 19.1 The candidate shall be declared eligible for the award of the degree of Doctor of Philosophy, only on the unanimous recommendations of the members of the board of examiners after the viva voce examination.
- 19.2 In case, the examiners are not satisfied with the performance of the candidate in the above examinations, the candidate shall be required to reappear for another viva-voce examination after a period of two months provided such specific recommendation is made by the board of examiners.

20. Award of Degree

20.1 Candidates who qualify for Ph.D. degree under the seal of the university and signed by the

Vice Chancellor at the next convocation held for conferring degrees.

20.2 The TITLE OF THE THESIS shall be indicated in the degree certificate. The degree certificate shall not indicate the subject or specialty.

Research Cell, KMC, Manipal

15.5 Invention Disclosure Form (IDF) Template

Manipal Academy of Higher Education Invention Disclosure Form

Internal Reference Number:

Date of Submission:

Stage of completion of project: Ideation Ongoing work Prototype Working model Results Validated

Submitted by: Please provide Name and Designation Role: Lead Inventor Co-inventor

A patent application must meet the following criteria in order to apply for patent:

New: The work must be new and have not been disclosed earlier, anywhere, in any form.

Useful: The work should generally be useful to company/industry/society and not merely for additional research/promotion.

Unobvious: Inventors should have identified unique aspects of the work in their research domain and should not be obvious to persons ordinarily skilled in the art.

Following details must be provided by the inventor(s) who wishes to apply for patent:

1. Title of Invention:

2. List All Inventors

(An inventor may be a Faculty member, Research Scholar, Student or staff associated with MAHE. Please list only those inventors who have intellectually contributed to the essential element of the invention. People whose services were paid for may be excluded from the list of inventors. In case of collaborative projects with inventors from institutions other than MAHE, a NOC from the Head of the collaborating institution must be obtained in advance with explicit written permission to file patent in the name of MAHE.)

Inventor 1: Name: Designation:

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-	ntion Disclosure Form
Department: Contact Details: Email and phone number Nationality	
Inventor 2:	
Name:	
Designation:	
Department:	
Contact Details:	
Email and phone number	
Nationality	
Inventor 3: Name:	
. tainer	
Designation: Department:	
Contact Details:	
Email and phone number	
Nationality	
Inventor 4:	
Name:	
Designation:	
Department:	
Contact Details:	
Email and phone number	
Nationality	
(Please include additional names a	and details of inventors as needed)

Manipal Academy of Higher Education

3. Is this a funded project? Yes No (If this work is part of a funded project, please state the terms for filing of IPR. If no terms related to IPR are mentioned, have you obtained a NOC from the funding agency to file IPR in the name of MAHE?)

4. Is this work bound by any contractual agreement? Yes NA No

5. Is the invention a product or a process? Product Process

6. Has this work disclosed to public before? Yes No (Disclosure includes, but is not limited to, publication in a journal, presentation in conference, conference proceedings, newsletters, transmission to third parties not involved in research either through, electronic, written or oral communication, or newspaper articles.)

7. Description of the Invention (NOT MORE THAN 400 WORDS)

Research Bulletin v1.0

Description of invention shall fo prior art search)	ocus on the following points in order to carry out
 Keywords: 5-7 important key 	y words with ALL synonyms of the keywords
 Field of Invention: Purpose of the invention: 	
 Technical aspects of the invertion. 	ntion:
	chnology (method, process, technique or device)
 Advantages/Benefits of your technology(ies): 	r work and how it solves the problems over existin
	nomic application of your work:
8. Is costing of the work done	?
9. Has the work been systema	atically documented? Yes No
If yes, where is the work docume	
10. Date of Start of Project:	
11. Is the project complete?	Yes No. If no, expected date of
completion	
12. Is there any patent filed by	the inventors before? No Yes. If Yes
please provide details	
13. Industry sector to which th	e work could be useful
14. Can you list a few compani Have you contacted any compa	es that would be interested in licensing the work anies regarding this work?
We hereby declare that the	information provided above is factual to my/ou
	rmation is omitted from the disclosure.

Coordinator, Technology Transfer Office, Directorate of Research, MAHE Professor, Department of Pharmacy Management, MCOPS, Manipal Email: <u>manthan.j@manipal.edu</u>; <u>techtransfer.mu@manipal.edu</u>

15.6 Name, Designation and Affiliation (NDA) form

CONFIDENTIALITY AGREEMENT

______(name, designation and affiliation) of the individuals to be included) (hereinafter referred to as "_____" which expression shall where the context so admits include its successors and permitted assigns) acting through its Authorized Representative hereinafter referred to as "_____" (which expression and term shall include its successors, permitted assigns, administrators, representatives and assigns) of the One Part;

and

Name, Designation and Affiliation hereinafter referred to as "_____" (which expression and term shall include its successors, permitted assigns, administrators, representatives and permitted assigns) of the Other Part;

The (name of individuals)_____ and the (name of individuals)______ hereinafter be individually referred to as a "Party" and collectively as "Parties", as the context may require. Party disclosing the information is referred to as "the Disclosing Party" and the party receiving such disclosed information is referred to as "the Receiving Party or Recipient".

- A. The ______ and ______ hereto are engaged into a agreement dated dated (herein after referred to as the wherein the _______ is sharing some confidential information and is framing formulas and compositions for and on behalf of the _______ which requires the disclosure of information relating to the parties hereto which the _______- desire to be kept confidential. (blank spaces should include names of individuals who are disclosing the idea as well as receiving party getting to know about the idea)
- B. Therefore in consideration of mutual premises of the parties and other good and valuable consideration, concerning the possibility of collaboration, to enable parties to investigate their potential interest in cooperation for (collaboration) the parties hereby agree as follows:
- 1. <u>Definitions:</u>

A) Confidential Information: The term "Confidential Information" as used in this Agreement shall, without limitation, mean and include any and all

- (i) Proprietary information.
- (ii) Non-public and/or un-published information.
- (iii) Trade secrets.
- (iv) Technical/ process/ plans/ scientific data/ formulation/ composition and information;
- (v) Know-how;
- (vi) Patent and other intellectual property related information.
- (vii) Designs, drawings, engineering details;

- (viii) Commercial, financial information and business plans/ sales and marketing plan any business information;
- (ix) Any materials transferred by the Disclosing Party.
- (x) Software, source codes, designs, algorithms, formulae and any other Information received/ disclosed under obligations of confidentiality.
- (xi) Information relating to the <u>Business Transaction</u> and any other information including information relating to its affiliates and subsidiaries disclosed by the Disclosing Party, including its affiliates and subsidiaries, of the Receiving Party, prior to or after the Effective Date.
- (xii) In addition to the above, the Disclosing Party may designate, in writing, the Confidential Information as "Confidential" by an appropriate legend. Confidential Information shall also include all copies, summaries, records, descriptions of the Confidential Information including any and all discoveries, results, deductions, reports, papers, documents comprising or incorporating, in whole or in part, Confidential Information and/ or information derived from Confidential Information. Information, which is generally understood to be confidential or by its very nature is to be deemed to be Confidential Information.Materials means including without limitation, documents, drawings, models, apparatus, sketches, designs and lists furnished to the recipient by the owner and any tangible embodiments of the disclosing party's Confidential Information created by the recipient.
- (xiii) Prices quoted/ agreed between the Company and MAHE will be kept confidential and should not be disclosed to any third party by the MAHE.
- (xiv) Material purchased from MAHE by the Company will not be divulged to any third party by MAHE.
- B) Party: The party means that discloses the information is referred to as the "Disclosing party" and the party who receives the information is referred to as the "Receiving party or Recipient".
- C) Effective Date: Effective date means the date on which this Confidentiality agreement is signed or any confidential information is disclosed or whichever is earlier.
- 2. The Company and MAHE hereto agree to hold in confidence and not to use, except in furtherance of the Business Transaction, and not to disclose to any third party any Confidential Information of the disclosing party without the written consent of such other party.
- 3. The Confidential Information may be disclosed to the employees and/ or representatives and/ or consultants to the parties hereto having a need to know the same in furthering the Business Transaction so long as such employees, representatives or consultants are obligated to hold in confidence and not to use, except in furtherance of the Business Transaction, or to disclose such Confidential Information.
- 4. The Confidentiality Agreement shall become effective on the Effective Date, and the confidentiality obligations under this Agreement shall remain in effect during the existence of this agreement.

- 5. The Disclosing party warrants that it is the owner of all confidential information disclosed by it to the receiving party under this agreement and that it has the right to disclose the confidential information without any obligation to any third party and receiving party on its part agrees to receive such confidential information subject to terms and conditions set out in this agreement.
- 6. The Recipient acknowledges and undertakes to use all reasonable endeavor to effect and maintain adequate security measures to safeguard such Confidential Information from unauthorized access, use and misappropriation;
- 7. The obligations as to confidentiality use and disclosure shall not be applicable to Confidential Information received by either party that:
 - (a) At the time of disclosure of such information to receiving party is generally available to the public or thereafter becomes generally available to the public through no wrongful act of the receiving party or its employees, representatives or consultants;
 - b) is already known to the Recipient with no obligation of confidentiality at the date it was disclosed by or obtained from the Disclosing Party;
 - c) Is required by law, Judicial/ Quasi Judicial Court, competent authority or governmental authority to be disclosed only to the extent of such requirement and following prompt notice to the other party in order to allow such party to obtain an appropriate protective order or other reliable assurance that Confidential treatment shall be accorded the Confidential Information.
- 8. Upon termination of the discussions and negotiations in relation to the Transaction, the Recipient will promptly deliver to the Disclosing Party all Materials supplied by the Disclosing Party incorporating any Confidential Information and all copies of it. Any Confidential Information contained in any materials and documentation prepared by or on behalf of the Recipient or recorded in any memory device will be destroyed or erased. Within fourteen days of request, the Recipient shall certify in writing to the Disclosing Party that it has fully complied with its obligations under this Clause. Notwithstanding the return, destruction of Confidential Information, the Recipient will continue to be bound by its obligations of confidentiality and other obligations hereunder;
- D. The Recipient confirms that the duty of confidentiality imposed by this Agreement extends to any Confidential Information which has been, or may have been, supplied to the Recipient before the date of this Agreement despite the absence of a written agreement, this Agreement merely recording in writing the oral confidentiality obligations under which the Recipient received the Confidential Information;
- 10. Save as otherwise contrary provided in this Agreement, the Recipient will not, under any circumstances, without first obtaining the Disclosing Party's prior written consent, make or permit others to make any reference to the subject matter, or existence, of this Agreement, or the Confidential Information or use or

permit others to use the name of the Disclosing Party in any public announcements, promotional, marketing or sales materials or efforts;

11. Neither the Recipient nor its affiliates will solicit for employment or cause to be solicited for employment any person employed by the Disclosing Party or its associate companies provided that the foregoing shall not prohibit general solicitations or advertisements of employment (or hiring as a result thereof) not specifically directed at such persons;

- 12. Nothing herein shall be construed as granting any license under any patent or patent application, trademarks, business marks, formulas, compositions or any rights in or to use any Confidential Information, other than as specifically granted herein, nor shall either party have any obligation to enter into any other or subsequent agreement with the other party hereto or be prevented from entering into similar or other agreements with third parties.
- 13A Unless otherwise expressly provided in a subsequent writing signed by both parties, should any inventions, improvements, discoveries or other developments be created as a result of discussions between the Company and MAHE (hereinafter "Work Product"), the Company and MAHE agree that such Work Product and all intellectual property rights therein and thereto, shall be in Company name sole and exclusive property, regardless of whether such Work Product is conceived, made, authored or reduced to practice solely by the Company or solely by MAHE, or jointly by the Company and MAHE. The Company shall reimburse the MAHE for its expenses in cooperating with the Company to secure the Company's intellectual property rights to the Work Product. However, at no time nor under any circumstances will there be any contingent or continuing fees such as royalties, commissions or profit participation, payable by the Company in connection with such Work Product.
- 13. Upon termination of the Business Transaction, each party shall, upon request, return all written or tangible records reflecting Confidential Information of and received from the other party and destroy any notes or other materials prepared by the receiving party which contain any Confidential Information, except for one copy that may be retained in its corporate legal files in order to be able to monitor its obligations hereunder.
- 14. This agreement shall remain in full force and effect for a period of three years from the effective date, unless terminated earlier.
- 15. Either Party of the agreement is at liberty to terminate this agreement, without stating any reason whatsoever, by serve a 30 days prior written notice to the Receiving Party, however the termination obligation on the parties shall remain same as mentioned and described under this agreement;
- 16. Parties to the agreement are agree and undertake that the benefits of this agreement shall not be assigned to any third party without prior written approval of the other party;
- 17. Each party acknowledges and agrees that in the event of any breach of this Agreement, including the actual or threatened disclosure of the Confidential

Information of the Disclosing party, irreparable loss, injury and/ or damage will occur such that no remedy of law will afford adequate protection against, or appropriate compensation for, such injury and aggrieved party shall be entitled to specific performance or injunctive relief as may be granted by a court of competent jurisdiction. The Recipient Party agrees to reimburse the reasonable legal fees and other costs incurred by the Disclosing Party in enforcing the provisions of this agreement.

- 18. If any provision of this Agreement is declared void or unenforceable, such provision shall be severed from this Agreement which shall remain in full force and effect.
- 19. Any confidential information disclosed by the Disclosing party to the Receiving Party as part of the Business Transaction will be stamped "Confidential" and will be treated by the Receiving Party according to the same standard as out lined for the confidential information disclosed by the Receiving Party to the Disclosing Party.
- 20. This Agreement may not be amended or modified except in writing and shall be binding upon the parties hereto their successors, and assignee;
- 21. Dispute if any arising out of this agreement shall initially be resolved amicably by mutual discussions between the authorized representatives of Parties to the Agreement within 30 days from the date of dispute raised or notified between the parties. However in the event, such dispute cannot be resolved amicably by mutual discussions, between the parties within said 30 days from the communication of the dispute in respect to this agreement shall be referred to and finally settled in accordance with the provisions of the Arbitration and Conciliation Act 1996 as amended, re-enacted from time to time in force (or any successor legislation thereto). The language of the arbitration shall be English and the decision of the arbitrators shall be final and binding on the parties. The parties hereby agree that the place of arbitration shall be at New Delhi.
- 23. This Agreement shall be governed by and construed in accordance with the laws of India. The parties hereby submit to the exclusive jurisdiction of New Delhi courts.

IN WITNESS WHERE OF, the parties hereto have caused these presents to be executed as of the date hereof.

On behalf of Disclosing party On behalf of Receiving party

Name : Title : Date : Name : Title : Date :

15.7 List of copyright applications

List of copyright applications filed/registered by Manipal Academy of Higher Education

SI. No.	Name	Title of Work	Class of Work	Status	
1	Mr. Kiran S Mr. Gopee Krishnan Dr. B. Rajashekhar	Graded-Word and Nonord Resading Test in Kannada	Literacy/Dramatic Work	Registered	
2	Dr. Ramesh S. Ve Ms. Krithica S Ms. Nithya R	Manipal Vanishing Optotype C Chart	Artistic Work	Registered	
3	Dr. Ramesh S. Ve Ms. Krithica S Ms. Nithya R	Manipal Vanishing Optotype Chart	Artistic Work	Registered	
4	Dr. Premjit Bhakat Dr. B Hemanth Reddy Dr. Sumanth Kumar M	Development and validation of tool for tablet based smart D-15 color vission assessment	Literary/Dramatic Work	Applied	
5	Dr. G Srikanth	Anaphylaxis - Medical emergency video	Cinematographic Film	Registered	
6	Dr. Bhamini Rao	Educational Handout/Information brochure for parents and caregivers for promoting developmental milestones from birth to three months infants	Literary/Dramatic Work	Registered	
7	Dr. John Solomon	An early mobilization algorithm for patients with traumatic brain injury	Literary/Dramatic Work	Registered	
8	Prof. Mani Kandan	Development and validation of physical activity measurement scale in stroke survivors	Literary/Dramatic Work	Registered	
9	Mr. Parameshwar Anche	A comprehensive assessment tool for Diabetic Foot Syndrome (M-	Literary/Dramatic Work	Registered	
	Mr. Animesh Hazari Dr. Arun G Maiya	CDFAT)			
10	Dr. Srinivas G	ThrustMIT/ThrustMIT	Artistic Work	Applied	

	Mr. Amogh Govil			
11	Dr. Arun Shanbhag	ag Innovation Day - List of participating innovations Literary/Dramatic Work		Registered
12	Dr. Manthan Janodia	Research outcome from an institution - Publish/Sale/License/Technology Transfer	Literary/Dramatic Work	Applied
13	Dr. Senthil Kumaran D	problem in ICE Domain in patients literary/Dramatic W		Registered
14	Dr. Bhamini Krishna Rao Dr. Senthil Kumaran D	Task Based Exercise Manipal for people with Stroke	Literary/Dramatic Work	Registered
15	Dr. Senthil Kumaran D	Pateinets's perceptions of problems in ICF Domain following stroke Questionnaire	Literary/Dramatic Work	Registered
16	Dr. Vinita A Acharya	Manipal Assertiviness Rating Scale	Literary/Dramatic Work	Registered

15.8 List of Patents filed by MAHE

List of Patent applications filed by Manipal Academy of Higher Education

SI. No.	Name of Inventor(s)	Title of Invention	Patent Application No.	Date of Filing	Status
	Mradul Tiwari				
1	Nayanabhirama Udupa	A formulation of copper and risedronate combination for treatment of bone disorders	201641009686	21-Mar-16	Filed with
	Kiran Avadhani	through topical delivery	201011009000	21 10101 10	IPO
	Josyula Venkata Rao				
	Suprabha Suresh Nayak	Interleukin 12 secreted by stimulate peripheral blood mononuclear cells(PBMC)			
2	Cannanore Ganesh Pai	as biomarker for differentiation of patients with intestinal tuberculosis and Crohn's disease	201641009687	21-Mar-16	Filed with IPO
	Monika Reddy Bhuma	A modified forecase for maxillary malor			Filed with
3	Joanna Baptist	A modified forceps for maxillary molar extractions	201641020069	13-Jun-16	Filed with IPO
	Ravikiran Ongole				но
	Aparna Ichalangod	The process of measuring dimensions of a	201641012620	23-May-16	Filed with IPO
4	Narayan Dr. Satish B Shenoy	dental implant	201641017658		
	Kapaettu Satyamoorthy				
_	Manjunath Bandu Joshi				
	Kamalashile Shreesha	peptides for promoting angiogenesis and a	201641022519	9 I-1 1C	Filed with IPO
5	Bhat	method of synthesizing the same	201641023518	8-Jul-16	
	Raghuvir K Arni				
	Setlur Govind Biligiri Thokur Sreepathy				
	Murali	A method of producing colchicine from an			Filed with
6	Deepika V Bhat	endophytic phomopsis using epigenetic	201641023516	8-Jul-16	Filed with IPO
	Kapaettu Satyamoorthy	modifiers			по
	Krishna Kishore Mahato				
	Manjunath				
	Siddaramaiah	A system and method for identification of			Filed with
7	Kapaettu Satyamoorthy	proteins unstained page by laser induced	201641023520	8-Jul-16	IPO
	Manjunath B Joshi	autofluorescence			
	Subhash Chandra				
	Anirbit Datta Prashanti Eachempati				
	Kiran Kumar				
	Krishnappa Salian	System and method of measuring soft	201641026037	7 29-07-2016	Filed with
8	(Karnataka)	palatal angulation			IPO
	Govindaraja Charyulu Eachempati				
9	M Srinivas Kini		201641027171	9-Aug-16	

	Champak Gogoi	A method of extracting pectin from orange peel through sonication			Filed with IPO
	Santhosh Kumar	peer unough someation			110
	Kanishk Gupta				
10	Pratibha P K	Device and Method for detection of bad	201641033269	29-Sep-16	Filed with
		breath	201041033209	29-3ep-10	IPO
	Subraya Bhat Giliyar				
	Hitesh Kumar Gatty				
11	Sivasankaran Sankaranarayanaiyer	A ultrasound assisted process of water splitting and catalytic hydrogenation for	201641034424	7-Oct-16	Filed with IPO
		synthesis of hydrogen peroxide			10
	Chethan Gejjalagere				
	Honnappa	A novel multi-target synthetic small	201641026222	67 0 1 1 (Filed with
12	Unnikrishnan Maabuuran ah armu	molecule anti-inflammatory agent and a	201641036333	25-Oct-16	IPO
	Mazhuvancherry Kesavan	simple one step process for its synthesis			
	Mr. Subhankar Biswas				
	Dr. Neetinkumar				
	Dnyanoba Reddy		201741001295	12-Jan-17	Filed with
	Dr. Jayashree Bellur	Method of preparing an analogue of			
13	Srinivas Iyengar	Quercetin that specifically inhibits HDAC-8			
	Dr. Chamallamudi	enzyme			IPO
	Mallikarjun Rao				
	Dr. Karkala Sreedhara				
	Ranganath Pai				
	Mrs. Indira				
	Subhakrishna Ballal				
	Mr. Subhas Krishna Ballal	A formulation of dietary supplement for			
14	Mr. Nayanabhirama	glycemic control in prediabetics and	201741001752	17-Jan-17	Filed with
	Udupa	patients with type 2 diabetes			IPO
	Mr. Saleemulla Khan				
	Mr. Piccheshwar Rao				
	Ms. Akhila Hosur				
	Shrungeswara				
	Dr. Chethan Gejjalagere				
15	Honnappa	A nontoxic, non-ulcerogenic, pleiotropic novel synthetic small molecule for treating	201741000101	1-Feb-17	Filed with
15	Dr. Chandra Sekhar	chronic inflammation	201/41000101	1-1-00-1/	IPO
	Misra				
	Dr. Mazhuvancherry				
	Kesavan Unnikrishnan				
	Dr. Pankhuri				T 1 1 14
16	Dr. Abhay Taranath	A foam ice pack and a method of preparing	201741004005	2-Mar-17	Filed with
	Kamath Dr. Deepika Pai	thereof			IPO
17	Dr. Deepika Pai Dr. Kanishk Gupta		201741006220	22-Feb-17	
1/	DI. Kanislik Gupta		201741000220	22-60-17	

	Mr. Rahul Gajula				
	Ms. Jaskirat Kaur				
	Mr. Sumit Sdashiv	A full mouth rotary bristle tooth brushing			Filed with
	Shinde	assembly			IPO
	Dr. Santhosh Kumar				
	Dr. Nabeel Abdur Rashid				
	Mr. Venkatachalam				
	Hillemane				
18	Dr. Jayashree Bellur	A process for synthesis of flavonol and its	201741008642	13-Mar-17	Filed with
	Srinivas Iyengar	anti-inflammatory activity			IPO
	Dr. Chandra Sekhar				
	Misra				
	Dr. Ramya Shenoy				
	Ms. Nikita Rungta				E1.1 24
19	Mr. Ashwin Kumar Devarai	A Dental Device	201741008796	17-Mar-17	Filed with IPO
	Mr. Suhan				IrO
	Dr. Dilip Naik				
	Dr. Bharath Raja Guru				
	Dr. Santhosh Laxman				
• •	Gaonkar	Formulation of Isoniazid Derivatives in	201741016102	8-May-17	Filed with IPO
20	Dr. Sushruta Hakkimane	Biodegradable Polymers			
·	Dr. Vishnu Prasad				
	Shenoy				
	Dr. Gadicherla Srikanth				Filed with
21	Dr. P Kalyan	Guiding device for dental anesthesia	201741019238	1-Jun-17	IPO
	Chakravarthy				
	Mr. Shreyas Suresh Rao	A system and method for linked data		6-Jun-17	Filed with IPO
22		process to explore interdisciplinary research	201741019854		
	Dr. Ashalatha Nayak	collaborations across enterprises	201741019034		
		r the r			
22	Ms. Ramya Lokesh	A system and method for online recognition	201741021107	16-Jun-17	Filed with
23	Dr. Kumara Shama	of kannada handwritten characters	201/4102110/	10-Juli-1/	IPO
	Mr. Muthu Selvakumar				
	Ms. Sowmya				
24	Dr. Denthaje Krishna	High Power Magnetic/Electromagnatic	201741024197	10-Jul-17	Filed with
24	Bhat	Electrochemical Supercapticator	201/4102417/	10-JUI-1/	IPO
	Der. Nedumaram				
	Gopalan Renganathan				
25	Mr. Soorya Annadurai	A method for generation and Identification of Hexresponse Codes	201741026396	25-Jul-17	Filed with IPO
26	Mr. Jaivrat Gupta	A self-holding suction tube in dentistry	201741031724	7-Jul-17	Filed with IPO
27	Mr. Praveen Shenoy	Triphibious Vehicle	201741027807	4-Aug-17	

	Mr. Lukhman Abdul Samad Khan				
	Mr. Sabdar Aziz Shaik				Filed with
	Sayyed Mohammed				IPO
	Afran				
	Mr. Kamlesh Kumar				
	WIT: IXuimoon IXuinui	A mothed of Counth priving A display Douted			F:1. 1:41
28	Dr. Aditi Chopra	A method of Synthesizing Adhesive Dental Splint Composition	201741018781	29-May-17	Filed with IPO
29	Dr Sudha Warrier	Method For Derivation Of Human Cardiomyocytes From Perinatal Stem Cells	201741000154	1-Mar-17	Filed with IPO
	Dr. Govindakarnavar				
	Arunkumar				
30	Dr. Anitha Jagadesh	Virus like particles for influenza and the	201741033978	27-Oct-17	Filed with
	Dr. Abdul Ajess Abdul	method of preparation thereof			IPO
	Salam				
	Dr. Shama Prasada				
	Kabekkodu				
	Dr. Samatha Bhat				
	Dr. Puthiya Mundyat				
	Gopinath		201741037480		
	Dr. Kapaettu	Differentially Methylated Markers for			Filed with
31	Satyamoorthy	detection and screening of cervical cancer		24-Oct-17	IPO
	Dr. Thanvanthri	detection and sereening of eer viear cancer			
	Gururajan Vasudevan				
	Dr. Parvathi Vinod Bhat				
	Dr. Deeksha Pandey				
	-				
	Dr. Pralhad Kushtagi				
22	Dr. Kapaettu Satyamoorthy	Micro RNA Markers for detection and	201741027416	22 0 -+ 17	Filed with
32	Dr. T G Vasudevan	screening of Cervical Cancer	201741037416	23-Oct-17	IPO
	DI. I U Vasuuevan				
		Method for derivation of central nervous			Filed with
33	Dr. Sudha Warrier	system cell types from human perinatal	201741029814	23-Aug-17	IPO
		mesenchymal stem cells			-
34	Dr. Srinivas Mutalik	Ligand Conjucated Liposomal Composition of a typical Antipsychotic Drug	201741044417		Filed with IPO
	Ms. Renuka Managuli	of a typical Anupsycholic Drug			IrU
	Mr. Ganesh Aroor	Pantograph Suspension System and Method			Filed with
35	Mr. Akshay Saxena	for Vehicles	201741047120	28-Dec-17	IPO
	Mr. Ravishanker Baliga				
36	Bantwal	Improved serial staging technique for multi-	201841002276	19-Jan-18	Filed with
30	Mr. Prabhpreet Data	stage launch vehicle	201041002270	19-Jan-18	IPO
	-				
37	Ms. Keerthana Prasad	A system and method for automatic blood	201841002277	19-Jan-18	Filed with
	Ms. Roopa Hegde	smear analysis based on image analysis			IPO

	Mr. Harishchandra Hebbar Ninnikallu				
38	Mrs. Indira Subhakrishna Ballal Dr. Subhas Krishna Balla Dr. N. Udupa Dr. Saleemulla Khan Dr. Basavaraj Shivayogi Hadpad Mr. Kuppuswamy Thirugnanasambantham Mr. Valsraj Payini	Fat free cookies for glycemic control in pre diabetics and early type 2 diabetic patients	201841002235	19-Jan-18	Filed with IPO
39	Chittor Vishnu Satya Chaitanya Mr. Suderasan Chidambaram Mr. Payyalore Raman Venkateshwaran Dr. Keerthana Ilanthodi	A Modified Booth Algorithm Based Multiplier Unit	201841002183	Jan 18, 2018	Filed with IPO
40	Dr. Srinivas Mutalik Dr. Renuka Managuli	Nano structured lipid carriers containing a typical antipsychotic drug for oral administration	201841005172	12-Feb-18	Filed with IPO
41	Dr. Ranajit Das Dr. Prinyanka Upadhyay	Generation of ancestry informative marker panel for South Asians	201841005167	12-Feb-18	Filed with IPO
42	Dr. Srinivas Mutalik	Multifunctional Nanoparticulate Sunscreen compositions containing Green Tea Polyphenol and Hyaluron	201841008513	8-Mar-18	Filed with IPO
43	Dr. Renuka Managuli Dr. Evit Rajan John Ms. Nivedita J S Dr. Lokendra Gupta	Electric Toothbrush with customizable handle for patients with special needs	201841016406	May 1, 2018	Filed with IPO
44	Dr. Sathya Shankar Sharma	Hybrid heat treatment method for age hardenable alloy matrix ferrous metal powder reinforced composites	201841019026	May 21, 2018	Filed with IPO
45	Dr. Rajesh Dr. Karthik Arumugam Dr. Mallikarjuna Rao Chamallamudi Dr. N. Udupa Dr. Subramanian Ganesan	A Novel Targeted Drug Delivery System for Rivastigmine in Liposomes and Nanoparticles Form for the Treatment of Alzheimer's Disease			
46	Dr. Ramesh S Ve Dr. Siddalingaswamy P C	Home Based Vision Screener - HVS	201841021748	June 11, 2018	Filed with IPO

	Ms. Krithica Srinivasan			
	Mr. Gopinath			
	Madeswaran			
	Mr. Asjad Nabeel			
	Mr. Arun Kumar P			
	Dr. Ajeetkumar Patil	A manual competition and competitions detection		
47	Dr. Suresh Diwakar Kulkarni	A novel sensative and quantitaive detection of Pyridoxine (Vitamin B6) by interaction		Filed wih IPO
	Dr. Sudarshan Kini	with CdTe quantum dots		

15.9 Intellectual Property Policy



Ref: MU/REG/CIR-(T) Date: December 22, 2016

CIRCULAR

Sub: Manipal University Intellectual Property Policy

In anticipation of approval of the Executive Committee of Manipal University, the University has revised Manipal University Intellectual Property Policy.

The details of the revised policy are enclosed with this circular for your information, records and to be notified to all concerned (*faculty members, staff, research scholars, students, visiting scholars, fellows, etc.*).

This will be applicable to all the constituent institutions, centres of excellence, and teaching departments of MU, Manipal and will come into force with effect from January 1, 2017. This will supersede all earlier circulars on the subject.

Babhahit

Dr. Narayana Sabhahit Registrar

To:

& Heads of Institutions | Centres of Excellence | Teaching Departments under MU

Copy for information to:

Pro Chancellor | Vice Chancellor | Pro Vice Chancellor, MU

Copy to:

- Solution Director(s) Research (Health Science | Technical), MU, Manipal
- S Director(s) Finance | Quality & Compliance, MU, Manipal
- S Chief Innovation Office, Innovation Centre, MU, Manipal
- Solution Deputy Director HR | Legal, MU, Manipal
- S Coordinator, Technology Transfer Office, Directorate of Research, MU, Manipal

Encl: as above

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Manipal University Intellectual Property Policy (With effect from January 1, 2017)

The contemporary and stated Intellectual Property Policy of Manipal University (MU) endeavors to facilitate the protection and upliftment of intellectual properties generated during the scientific pursuit (of knowledge/resource) in the university and offer scope for ingenuity and commercialization. It targets the provision of unbiased intercession between the various interests involved.

In order to lessen the possibility of adopting a specification or other technology which might infringe on a patent or other IPR, MU will, in the course of carrying out its program of work, and in accordance with such Policies and Procedures of the University as may be in place from time to time, issue calls to its staff and faculty to disclose patents or other IPR ("patent calls") which are owned by them, or of which they might be aware, which might be infringed by the implementation of a specification proposed for adoption. Such a patent call shall be made at such times as the MU's policies and procedures shall provide.

1) Applicability:

The Policy on Intellectual Property (IP) is applicable to following personnel:

- 1.1 Employees, faculty members, staff (permanent and temporary), visiting scholars, fellows, research scholars and students associated with MU and include, but is not limited to, those who are directly under the University payroll and/or receive assistance in the form of fellowships, scholarships, honorarium, either from MU or from outside government and private institutions/agencies.
- 1.2 Employees, faculty, staff, research scholars, visiting scholars, fellows or students who are making use of MU facility and resources, and include financial support to generate, file and prosecute any form of intellectual property and invention related issues.
- 1.3 Various forms of intellectual property under this policy include, but does not limit to, Patent, Copyright, Trademark / Service Mark, Design Registration, Trade Secret, Confidential Information, Integrated Circuit Layout and Plant Varieties.

2) Ownership of IP:

An invention for which an intellectual property application is filed where in the University resources like space, equipment, facilities, are utilized and when the applicant(s) receive financial support towards professional and statutory fees for acquiring such intellectual property, the assignee of such intellectual property will be MU.

Individual(s), who obtains a patent or any other form of intellectual property or introduces an invention into public domain without use of resources from the University or outside their regular assigned duties during official hours under terms of their appointment with the university, and without substantial involvement by university personnel, shall retain full IP rights.

3) Copyrights:

Any original work of intellectual nature can be protected under copyright law. Ideas per se are not copyrightable but only in their expressed form.

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When the copyrightable pedagogical, scholarly, computer software, integrated circuit layouts, designs, films, cassettes and other such literary and artistic works, specified as copyrightable works under relevant Copyrights Act as amended form time to time by the government, which are created for Manipal University, the author shall retain ownership of their original work, while at the same time granting Manipal University and all implementers of its specifications full rights to revise, modify, and create derivative works based on that original work, under the Manipal University's own copyright.

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If the university foresees a gainful return from copyrights, it may initiate steps to file and protect such copyrights and share the financial rewards with the inventor on terms and conditions of the university as specified from time to time.

When the copyrightable work is generated for an external sponsor/university/company of foreign country/India then ownership will be jointly shared according to the agreement between external sponsor and the university.

In case of copyrightable work created by non-Manipal University personnel without absolute intellectual contribution of Manipal University personnel and Manipal University resources the respective author shall retain his/her ownership.

Copyrights on books and publications authored by Manipal University personnel shall be in the name of the respective authors.

4) Trade Secrets:

MU employees are expected not to reveal Tradesecret information of the University to commercial entities or any third party, nor shall they sign non-disclosure agreements. MU shall take relevant measures to ensure that the Tradesecret of the University is protected. If outside entities or third party asks employees of MU to sign non-disclosure agreement, employees/staff/ research scholars and students shall communicate all such requests to Technology Transfer Office, Directorate of Research, and Personnel and Legal department for discussion and legal approval before signing these agreements.

5) Inventions and Patents:

An idea when manifested in tangible form is patentable provided it fulfills the below criteria for patentability:

- Non-obviousness (the invention should be non-obvious to the person skilled in the art)
- Utility (it should be commercially applicable) and
- Novelty (invention may relate to a new product or an improvement of an existing one or a new
 process of manufacturing an existing or a new product)

If such a patentable invention is developed at MU, and qualifies for protection under the relevant Acts of government related to patents, then patent belongs to MU. It can be in the form of know-how, solutions, processes, genetically engineered microorganisms, scientific or technological developments, business models and <u>other forms as the need arises</u>. The filing of a patent application shall be with the researcher as named inventor.

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In such instance or instances where the patent is owned by the university, the inventor or inventors have the right on such form of intellectual property till the time protection of such intellectual property is agreed upon by the University and inventor(s) or the life of such intellectual property according to relevant Acts has expired. The university also reserves the right to initiate discussions on sale/license or technology transfer of patents or other forms of intellectual property, as the case may be, and which are deemed suitable for such activity. In an event of successful outcome through sale/license or technology transfer, the revenue sharing from either sale/license or transfer of technology shall be as specified in the royalty sharing clause mentioned below.

Whenever there is any patentable invention obtained under research or a related activity between an external sponsor and the University, then it is subject to agreement between the involved parties.

Party shall grant to each of the other parties and their respective affiliates, a nonexclusive, worldwide, perpetual, irrevocable, non-sub licensable license under any (if any) of such party's claims in its contributions, solely to make, have made, use, import, offer to sell, sell and otherwise distribute and dispose of compliant portions as agreed upon; provided that such license need not extend to any part or function of a product in which a compliant portion is incorporated that is not itself part of the compliant portion. Such license shall be granted on a royalty-free basis or will be subject to otherwise reasonable and nondiscriminatory terms.

6) Royalty Sharing:

Net revenue received by the University through sale/license or technology transfer of intellectual property of such inventions or creative works, royalty shall be distributed as follows, unless otherwise specified in arrangements for commissioned works.

Royalty sharing percentage
50%
50%
100%

*50% share of the University shall be distributed as: (a) to the inventor(s) department (10%), (b) to the inventor(s) Institution (10%) and (c) to Manipal University (30%). In case of an Intellectual Property jointly held by the constituent institutions of Manipal University, the distribution of share to inventor(s) department and inventor(s) institution have to be communicated by the lead investigator to the University. In no case such share should cumulatively exceed 20% of the allotted share.

In an event when more than one inventor contributes for the generation of the intellectual property then the percentage of royalty shall be equally shared among the inventors, contingent upon such invention being sold, licensed or transferred under technology transfer agreement with third party(ies). No royalty shall be claimed by the inventor(s) for patents which fail to generate interest for sale, licensing or technology transfer.

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7) Technology Transfer:

MU reserves the right to initiate and commercially leverage intellectual property of the university or jointly owned with other institute/university/industry under the agreements dealing with technology transfer, licensing and revenue sharing models in consultation with the named inventors.

In the case of sponsored activity, the sponsored industry/organization will have the first right to commercially leverage the intellectual property or products originating from the collaboration activity, whether or not the same have been formally protected by patent(s).

In the case of sponsored activity, if the sponsored industry/organization fails to commercially leverage the intellectual property or products within **one** year from the first date of development of the technology, then MU shall reserve the right to transfer the said know-how to a third party for its commercial advantage. However, Manipal University shall share the net revenues derived henceforth with the sponsored organization/industry as per the **agreement regarding technology transfer**.

8) Conflict of Commitment and Interests:

To manage and minimize conflict over intellectual property rights, all potentially patentable inventions created or discovered by faculty in the course of their University activities, or with use of University resources, must be disclosed to the University on a timely basis ("Patent calls").

The inventor(s), to the respective Heads of Institutions, should disclose any conflict of interest or any potential conflict of interest.

MU discourages its employees, faculty members, staff (permanent and temporary), visiting scholars, fellows, research scholars and students against any legal recourse. In case of any disputes regarding the implementation of intellectual property policy, efforts shall be made to address to the concerns of the inventor(s) by developing and incorporating an arbitration mechanism and arrangement, or any other suitable mechanism as agreed upon by the parties and arrive at an amicable solution. The decision taken in this regard by the competent authority of MU or through arbitration shall be final and binding to all the parties under dispute.

9) Infringement:

MU shall retain the right to engage in or abstain from any lawsuit concerning patent and license infringements.

MU shall ensure that Manipal University personnel have an insurance clause built into the agreement with the licensee(s) while transferring technology or copyrighted material to licensees.

10) Modus Operandi:

All applications for patents should be dispatched to respective Head of Institution, irrespective of whether the inventions have resulted from the in-house research/project, or projects under sponsored activity. The Head of Institution must ensure that the foreseeable intellectual property under discussion is not revealed in public domain or discussed with people not connected with the research pertaining to intellectual property.

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The application for patent or any other form of intellectual property shall be analyzed/inspected by the Intellectual Property Advisory Board of Manipal University comprising the following:

SI. #	Names of persons	Designation
1	Vice Chancellor, Manipal University	Chairman
2	Registrar, Manipal University	Member
3	Chief Innovation Officer, Innovation Center, Manipal University	Member
4	Head of Institution of the Institute concerned	Member
5	Coordinator, Technology Transfer Office	Convener
6	Representatives of Directorate of Research, Personnel and Legal Department and Human Resources Department may be consulted on case to case basis	

The inventor(s) are required to make a brief presentation of their invention to the Intellectual Property Advisory Board of the University. Based on the outcome reached through discussion by the Board members with the research team, further processing of the application shall commence through the approved attorney or agency by the University.

If the inventor(s) decide to abandon or withdraw the application for a patent at any stage after filing of application, prior approval of the Intellectual Property Advisory Board is mandatory.

11) Incentive Awards for Granted Patents:

- a. 18 points or INR 18,000 shall be awarded for each granted patent.
- b. All the members listed as inventors in granted patent shall receive 18 points each or INR 18,000 each.
- c. The points are awarded to the inventor(s) affiliated to Manipal University only for the granted patents, filed through the Technology Transfer Office, Directorate of Research, Manipal University.
- d. The certificate of grant of patent must be submitted along with the evaluation form to claim incentive award. (Annexure 1)
- e. No incentive shall be awarded for filed patent applications.

Manipal University reserves the right to modify the above policy as deemed fit from time to time.

Annexure I:Evaluation form to claim incentives for PatentAnnexure II:Bank Account details of Students

Aabhahit

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With effect from January1, 2017

Evaluation form to claim incentives for Patent

- **PATENT Number:**
- Title of the Patent/IPR Granted: _
- Granted in: _____(mention the country(ies) in which filed)

	Names of Inventors	Types of Inventors	Department	Institution	EMP Code/Registrati on No. of Student
1					
2					
3					
4					
5					

Declaration by the submitting Inventor:

The patent/IPR grant is an outcome of my/our innovative work. The work described is Novel. I/We have taken due care to ensure that the patent does not infringe upon the existing patent and/or is not a part of existing literature or prior art.

Name:	Designation:
Department:	Institution:

_____ Designation: ____

Email ID: _

_____Signature with date: ____

For the use of forwarding office only:

Name & Signature of the Guide/HoD/HoI

Name & Signature of Head of Institution

For the use of Directorate of Research only:

Total number of points:

Coordinator - TTO DoR, MU (signature with date)

Director Research - (Health Sciences / Technical) Manipal University (signature with date)

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Bank Account details of Students (in case of student inventors named in the granted patents)

Registration Number:

Details of Account Holder:

Bank Name		
Bank Name		
Branch name & Code Branch Address MICR Code IFS Code No. of the Branch Complete Contact Address of the student Handheld/Telephone No.	Account Number of above account	
Branch Address Branch Address MICR Code IFS Code No. of the Branch Complete Contact Address of the student Handheld/Telephone No.	Bank Name	
MICR Code IFS Code No. of the Branch Complete Contact Address of the student Handheld/Telephone No.	Branch name & Code	
IFS Code No. of the Branch Complete Contact Address of the student Handheld/Telephone No.	Branch Address	
IFS Code No. of the Branch Complete Contact Address of the student Handheld/Telephone No.		
Complete Contact Address of the student Handheld/Telephone No.	MICR Code	
Handheld/Telephone No.	IFS Code No. of the Branch	
	Complete Contact Address of the student	
E-Mail	Handheld/Telephone No.	
	E-Mail	